

DEPARTMENT OF TRANSPORTATION SERVICES  
CITY AND COUNTY OF HONOLULU

650 SOUTH KING STREET, 3RD FLOOR  
HONOLULU, HAWAII 96813  
Phone: (808) 768-8305 • Fax: (808) 768-4730 • Internet: www.honolulu.gov

KIRK CALDWELL  
MAYOR



MICHAEL D. FORMBY  
DIRECTOR  
MARK N. GARRITY, AICP  
DEPUTY DIRECTOR

August 21, 2014

The Honorable Ernest Y. Martin  
Chair and Presiding Officer  
and Members  
Honolulu City Council  
530 South King Street, Room 202  
Honolulu, Hawaii 96813

2014 AUG 22 PM 2:26  
RECEIVED  
CITY CLERK  
C & C OF HONOLULU

Dear Chair Martin and Councilmembers:

SUBJECT: Draft Resolution to Apply for Funds from the  
Federal Transit Administration (FTA)

Pursuant to Chapter 1, Article 8, Revised Ordinances of Honolulu 1990, as amended, we transmit for your consideration a draft resolution authorizing the City and County of Honolulu to apply for and enter into a grant agreement with the FTA. The draft resolution and the draft grant application are attached.

The application request is for \$1,949,267 in Title 49 U.S.C. Section 5309 funds for Bus and Handi-Van Acquisition, as summarized below:

Project Number & Funding Source	Program of Projects	FTA Amount
HI-05-0207-00 & Title 49 U.S.C. Section 5309 funds	Bus and Handi-Van Acquisition Program	\$1,949,267
	TOTAL	\$1,949,267

The Honorable Ernest Y. Martin  
Chair and Presiding Officer  
and Members  
August 21, 2014  
Page 2

We ask for your early and favorable consideration of our request so we may submit the grant application to the FTA.

Very truly yours,

  
Michael D. Formby  
Director

Attachment

APPROVED:



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Ember Lee Shinn  
Managing Director



## RESOLUTION

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**AUTHORIZING THE DIRECTOR OF TRANSPORTATION SERVICES OR THE DIRECTOR'S DESIGNEE TO APPLY FOR AND ENTER INTO GRANT AGREEMENT HI-05-0207 WITH THE FEDERAL TRANSIT ADMINISTRATION FOR FEDERAL TRANSPORTATION ASSISTANCE AUTHORIZED BY 49 U.S.C. CHAPTER 53, TITLE 23, UNITED STATES CODE, AND OTHER FEDERAL STATUTES ADMINISTERED BY THE FEDERAL TRANSIT ADMINISTRATION.**

**WHEREAS, Chapter 1, Article 8, Revised Ordinances of Honolulu 1990, as amended (ROH), provides that any intergovernmental agreement or any amendments thereto which place an obligation upon the City or any department or agency thereof shall require prior City Council consent and approval; and**

**WHEREAS, ROH Chapter 1, Article 8, also provides that, when carrying out the provisions of any intergovernmental agreement, all applications and/or amendments thereof, statistical data programs, reports or other official communications which support the application and which are required to be provided by the City or its component departments to any other governmental or quasi-governmental agency shall first be presented to the City Council for its review and approval prior to their transmittal; and**

**WHEREAS, the Federal Transit Administration (FTA) is an operating administration of the United States Department of Transportation; and**

**WHEREAS, the Federal Transit Administrator has been delegated authority to award Federal financial assistance for transportation projects; and**

**WHEREAS, the grants or cooperative agreements for Federal financial assistance will impose certain obligations upon the City, and may require the City to provide the local share of project costs; and**

**WHEREAS, the City's Application for FTA Grant HI-05-0207, a copy of which is attached hereto as Exhibit "A" and by reference made a part hereof, requests \$1,949,267 in Title 49 U.S.C. 5309 funds for the Bus and Handi-Van acquisition program; and**

**WHEREAS, the Director of the Department of Transportation Services (DTS) desires to submit the Application to the FTA on behalf of the City; and**

**WHEREAS, if the FTA, following the receipt and review of the Application, approves the Application, the FTA will then offer the City \$1,949,267 or an amount substantially equal to \$1,949,267 in Title 49 U.S.C. 5309 funds for the Bus and Handi-Van acquisition program; and**



# CITY COUNCIL

CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

No. \_\_\_\_\_

## RESOLUTION

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WHEREAS, the FTA's offer will be in the form of a standard document entitled "Grant Agreement" (FTA G-20, October 1, 2013) which becomes Part 9 of the Application, and the combined documents will comprise the complete agreement upon execution of the Grant Agreement form by the City; and

WHEREAS, the Grant Agreement form from the FTA incorporates by reference and makes a part of the agreement the following, which set forth obligations of the City;

- (1) Federal Transit Administration Master Agreement, October 1, 2013 (<http://www.fta.dot.gov/documents/20-Master.pdf>), a copy of which was transmitted by the DTS Director to the Council by Departmental Communication No. 390, dated May 19, 2014;
- (2) The Certifications and Assurances provided to the FTA by the City, a copy of which was transmitted by the DTS Director to the Council by Departmental Communication No. 388, dated May 19, 2014, and supplemented by Departmental Communication No. 399 from the DTS Director, dated May 21, 2014; and
- (3) Any Award notification containing special conditions or requirements, if issued;

NOW, THEREFORE,

BE IT RESOLVED by the Council of the City and County of Honolulu that the Application for FTA Grant HI-05-0207, attached hereto as Exhibit "A," for Federal assistance authorized by Title 49 U.S.C. Chapter 53 and Title 23, United States Code and other Federal statutes administered by the FTA, is hereby approved; and

BE IT FURTHER RESOLVED that the DTS Director or the Director's designee is authorized to submit the Application to the FTA on behalf of the City and County of Honolulu in substantially the form attached hereto as Exhibit "A"; and

BE IT FURTHER RESOLVED that if the FTA approves the Application and transmits the Grant Agreement form (Part 9) to the City, the DTS Director or the Director's designee is authorized to execute the Grant Agreement form on behalf of the City if the only obligations of the City are those set forth in the FTA Master Agreement and Certifications and Assurances described in (1) and (2) above; and



**RESOLUTION**

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BE IT FURTHER RESOLVED that the DTS Director or the Director's designee is authorized to:

1. Execute any incidental or related agreements in furtherance of the above agreement, provided that such agreements and documents do not incur additional obligations on the part of the City; and
2. Make minor or editing changes, provided that no substantive additions or deletions are made;

and

BE IT FURTHER RESOLVED that if the FTA's subsequent offer to the City for Grant HI-05-0207 is made subject to any conditions, requirements, or obligations other than the above-described FTA Master Agreement and Certifications and Assurances, the DTS Director shall submit the agreement for prior consent and approval by the Council pursuant to ROH Chapter 1, Article 8; and

BE IT FINALLY RESOLVED that a copy of this Resolution be transmitted to the DTS Director at the Fasi Municipal Building, 650 South King Street, 3<sup>rd</sup> Floor, Honolulu, HI 96813.

INTRODUCED BY:

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DATE OF INTRODUCTION:

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\_\_\_\_\_  
Honolulu, Hawaii

\_\_\_\_\_  
Councilmembers

# DOT



# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Project ID:	HI-05-0207-00
Budget Number:	1 - Budget Pending Approval
Project Information:	Bus Acquisition

### Part 1: Recipient Information

Project Number:	HI-05-0207-00
Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Address:	650 SOUTH KING STREET 3RD FLOOR, HONOLULU, HI 96813 3017
Telephone:	(808) 768-8348
Facsimile:	(808) 768-4730

### Union Information

Recipient ID:	1703
Union Name:	HAWAII TEAMSTERS AND ALLIED WORKERS UNION, LOCAL 996
Address 1:	1817 Hart Street
Address 2:	
City:	Honolulu, HI 96819 3205
Contact Name:	Ronan Kozuma
Telephone:	(808) 847-6633
Facsimile:	(808) 842-4575
E-mail:	loc996@hawaii.rr.com

Website:	<a href="http://www.hawaiiitemsters.com/">http://www.hawaiiitemsters.com/</a>
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## Part 2: Project Information

Project Type:	Grant	Gross Project Cost:	\$2,348,517
Project Number:	HI-05-0207-00	Adjustment Amt:	\$0
Project Description:	Bus Acquisition	Total Eligible Cost:	\$2,348,517
Recipient Type:	City	Total FTA Amt:	\$1,949,267
FTA Project Mgr:	Catherine Luu (ph: 415.744.2730)	Total State Amt:	\$0
Recipient Contact:	Strather Ing (ph: 808.768.8348)	Total Local Amt:	\$399,250
New/Amendment:	New	Other Federal Amt:	\$0
Amend Reason:	Initial Application	Special Cond Amt:	\$0
		Special Condition:	None Specified
Fed Dom Asst. #:	20500	S.C. Tgt. Date:	None Specified
Sec. of Statute:	5309-4	S.C. Eff. Date:	None Specified
State Appl. ID:	None Specified	Est. Oblig Date:	None Specified
Start/End Date:	Oct. 01, 2014 - Mar. 31, 2016	Pre-Award Authority?:	No
Recvd. By State:		Fed. Debt Authority?:	No
EO 12372 Rev:	Not Applicable	Final Budget?:	No
Review Date:	None Specified		
Planning Grant?:	NO		
Program Date (STIP/UPWP/FTA Prm Plan) :	Mar. 25, 2014		
Program Page:	16/42		
Application Type:	Electronic		
Supp. Agreement?:	No		
Debt. Delinq. Details:			

### Urbanized Areas

UZA ID	UZA Name
150550	URBAN HONOLULU, HI

### Congressional Districts

State ID	District Code	District Official
15	1	Colleen W Hanabusa
15	2	Tulsi Gabbard

### Project Details

Grant applies for: UZA 150550, HONOLULU, HI, FFY 2011, Section 5309 Fixed Guideway Modernization Apportionments of \$1,949,267(remaining balance); 4-40' Buses.

1. Program of Projects: Grant conforms to the Hawaii STATEWIDE TRANSPORTATION IMPROVEMENT PROGRAM : REVISION #17 (Administrative Modification) FFY 2011 THRU FFY 2014 (FFY 2015-2016 Informative Only); Revision Effective Date: March 25, 2014; Item 1(a), page 16/43; OC 14; Bus and Handi-Van Acquisition; FFY 11; <http://hidot.hawaii.gov/highways/files/2014/01/131230-11-14-stip-R17.pdf>

1a. Bus Acquisition. (STIP OC14) A total of 4-40ft. replacement transit buses with new fareboxes will be purchased with Section 5309 Funds. Buses to be replaced have reached FTA's useful service life policy. Current condition of buses is poor. (See attachment under Fleet Status, Fixed Route Details, Bus Fleet Replacement Expansion HI-05-0207, under Project Details in TEAM-Web.) Honolulu will replace buses with future grants.

1b. Funding Sources. Local match from Highway Bond Fund Capital Improvement Program & Budget Ordinance.

1c. ITS statement:

\* New bus radios will be installed in all replacement buses. Bus radio system completed final design in 2000 and is exempt from FTA's National ITS Architecture policy that took effect in February 7, 2001. Radio system is part of the Oahu Regional ITS Architecture. System is consistent with the National ITS Architecture.

Bus fareboxes from retired buses will be re-installed in replacement buses. Fareboxes in-use are stand-alone units and not connected to any electronic, communications or information system singly or in combination. Paratransit vehicles in service do not have fareboxes.

On-board camera system for bus and paratransit vehicles are integrated with existing on-board equipment and systems, are user-friendly, and easily expandable. Project is part of the Oahu Regional ITS Architecture and the platform is consistent with the FTA's National ITS Architecture.

2. Grantee contact information:

Strather Ing, Transportation Planner  
 Department of Transportation Services  
 City and County of Honolulu  
 Email: [sing@honolulu.gov](mailto:sing@honolulu.gov)  
 Phone: (808)768-8348

**Earmarks**

**No information found.**

**Security**

**No information found.**

**Part 3: Budget**

**Project Budget**

	<u>Quantity</u>	<u>FTA Amount</u>	<u>Tot. Elig. Cost</u>
<u>SCOPE</u>			
111-00 BUS - ROLLING STOCK	4	\$1,949,267.00	\$2,348,517.00
<u>ACTIVITY</u>			
11.12.01 BUY REPLACEMENT 40-FT BUS	4	\$1,949,267.00	\$2,348,517.00
<b>Estimated Total Eligible Cost:</b>			<b>\$2,348,517.00</b>
<b>Federal Share:</b>			<b>\$1,949,267.00</b>
<b>Local Share:</b>			<b>\$399,250.00</b>

**OTHER (Scopes and Activities not included in Project Budget Totals)**

**None**

**No Amendment Funding Source information is available for the selected project**

**Alternative Fuel Codes**

11.11.00	BUS - ROLLING STOCK	Diesel Fuel
11.12.01	BUY REPLACEMENT 40-FT BUS	Diesel Fuel

Extended Budget Descriptions

11.12.01	BUY REPLACEMENT 40-FT BUS	4	\$1,949,267.00	\$2,348,517.00
Buy Replacement 40-FT BUS				

**Changes since the Prior Budget**

No information found.

**Part 4. Milestones**

11.12.01 BUY REPLACEMENT 40-FT BUS 4 \$1,949,267 \$2,348,517

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	RFP/IFB OUT FOR BID	Dec. 01, 2014
2.	CONTRACT AWARDED	Jan. 15, 2015
	CONTRACT AWARDED	
3.	FIRST VEHICLE DELIVERED	Oct. 01, 2015
	Contract Award	
4.	ALL VEHICLES DELIVERED	Dec. 31, 2015
5.	CONTRACT COMPLETE	Mar. 31, 2016

**Part 5. Environmental Findings**

111201 BUY REPLACEMENT 40-FT BUS 4 \$1,949,267 \$2,348,517

Finding No. 1 - Class II(c)

**C07 - Acquisition, maintenance of vehicles / equipment**

Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

## Part 6: Fleet Status

### Fixed Route

		<u>Before</u>	<u>Change</u>	<u>After</u>
<b>I.</b>	<b>Active Fleet</b>			
	A. Peak Requirement	433	0	433
	B. Spares	85	0	85
	C. Total (A+B)	518	0	518
	D. Spare Ratio (B/A)	19.63%	0.00%	19.63%
<b>II.</b>	<b>Inactive Fleet</b>			
	A. Other	1	0	1
	B. Pending Disposal	32	0	32
	C. Total (A+B)	33	0	33
<b>III.</b>	<b>Total (I.C and II.C)</b>	551	0	551

### Paratransit

		<u>Before</u>	<u>Change</u>	<u>After</u>
<b>I.</b>	<b>Active Fleet</b>			
	A. Peak Requirement	119	0	119
	B. Spares	39	0	39
	C. Total (A+B)	158	0	158
	D. Spare Ratio (B/A)	32.77%	0.00%	32.77%
<b>II.</b>	<b>Inactive Fleet</b>			
	A. Other	0	0	0
	B. Pending Disposal	0	0	0
	C. Total (A+B)	0	0	0
<b>III.</b>	<b>Total (I.C and II.C)</b>	158	0	158

## Part 7. FTA Comments

## **Part 8: Results of Reviews**

The reviewer did not find any errors