

## Transfer of Stock CHECKLIST

<b>SUBMIT YOUR APPLICATION PACKET IN THE FOLLOWING ORDER:</b>	<b>Form Number</b>	<b>HLC Initial</b>
<input type="checkbox"/> Notification of Authorized Agent (if applicable)	LIQ-LIC-106	
<input type="checkbox"/> Transfer of Stock Application and Stock Advisory Form (pg. 2 of form)	LIQ-LIC-114	
<input type="checkbox"/> Personal History & Affidavit Required for all New Officers, New Directors, 25%+ Stockholders	LIQ-LIC-129	
<input type="checkbox"/> Criminal History Record Clearance (Fingerprinting) Required for all New Officers, New Directors, 25%+ Stockholders Review instructions for submitting fingerprints through <b>Fieldprint</b> fingerprinting services.	LIQ-LIC-132 Fingerprinting Services	
<input type="checkbox"/> Stock Purchase Agreement Provide proof of the stock transfer/purchase. Must be signed by the seller/transferor and the buyer/transferee.		
<input type="checkbox"/> Certificate of Good Standing (not over 60 days old) Request online using the following DCCA website: <a href="http://cca.hawaii.gov/breg/">http://cca.hawaii.gov/breg/</a>		

**Note: Any application that is inaccurate or incomplete will be returned.**

**No fee incurred for Transfer of Stock.**

**For questions about forms, please email: [liq-licensing@honolulu.gov](mailto:liq-licensing@honolulu.gov)**