



RESOLUTION

AUTHORIZING THE DIRECTOR OF TRANSPORTATION SERVICES OR THE DIRECTOR'S DESIGNEE TO APPLY FOR AND ENTER INTO A GRANT AGREEMENT WITH THE FEDERAL TRANSIT ADMINISTRATION FOR FEDERAL TRANSPORTATION ASSISTANCE AUTHORIZED BY 49 U.S.C. CHAPTER 53, TITLE 23, UNITED STATES CODE, AND OTHER FEDERAL STATUTES ADMINISTERED BY THE FEDERAL TRANSIT ADMINISTRATION.

WHEREAS, Chapter 1, Article 8, Revised Ordinance of Honolulu 1990 ("ROH"), provides that any intergovernmental agreement or any amendments thereto that place an obligation upon the City or any department or agency thereof require prior City Council consent and approval; and

WHEREAS, ROH Chapter 1, Article 8, also provides that, when carrying out the provisions of any intergovernmental agreement, all applications and/or amendments thereof, statistical data programs, reports or other official communications that support the application and are required to be provided by the City or its component departments to any other governmental or quasi-governmental agency must first be presented to the City Council for its review and approval prior to their transmittal; and

WHEREAS, the Federal Transit Administration ("FTA") is an operating administration of the United States Department of Transportation; and

WHEREAS, the Federal Transit Administrator has been delegated authority to award Federal financial assistance for transportation projects; and

WHEREAS, the grants or cooperative agreements for Federal financial assistance will impose certain obligations upon the City, and may require the City to provide the local share of project costs; and

WHEREAS, the City's Application for FTA Grant 1703-2016-5, a copy of which is attached hereto as Exhibit A and by reference made a part hereof, requests \$632,705 in Title 49 U.S.C. 5310 funds for Agency Provided Trips and Mobility Management; and

WHEREAS, the Director of the Department of Transportation Services ("DTS") desires to submit the Application to the FTA on behalf of the City; and

WHEREAS, if the FTA, following the receipt and review of the Application, approves the Application, the FTA will then offer the City \$632,705 or an amount substantially equal to \$632,705 in Title 49 U.S.C. 5310 funds for Agency Provided Trips and Mobility Management; and



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WHEREAS, the FTA's offer will be in the form of a standard document entitled "Grant Agreement" (FTA G-21, October 1, 2014), which becomes Part 6 of the Application, and the combined documents will comprise the complete agreement upon execution of the Grant Agreement form by the City; and

WHEREAS, the Grant Agreement form from the FTA incorporates by reference and makes a part of the agreement the following, which set forth obligations of the City:

- (1) Federal Transit Administration Master Agreement (FTA MA(22), October 1, 2015), a copy of which was transmitted by the DTS Director to the Council by Departmental Communication No. 297, dated April 22, 2016;
- (2) The Certifications and Assurances provided to the FTA by the City, a copy of which was transmitted by the DTS Director to the Council by Departmental Communication No. 298, dated April 22, 2016, and supplemented by Exhibit B attached hereto and by reference made a part hereof; and
- (3) Any Award notification containing special conditions or requirements, if issued;

now, therefore,

BE IT RESOLVED by the Council of the City and County of Honolulu that the Application for FTA Grant 1703-2016-5, attached hereto as Exhibit A, for Federal assistance authorized by Title 49 U.S.C. Chapter 53, and Title 23, United States Code and other Federal statutes administered by the FTA, is hereby consented to and approved; and

BE IT FURTHER RESOLVED that the DTS Director or the Director's designee is authorized to submit the Application to the FTA on behalf of the City and County of Honolulu in substantially the form attached hereto as Exhibit A; and

BE IT FURTHER RESOLVED that if the FTA approves the Application and transmits the Grant Agreement form (Part 6) to the City, the DTS Director or the Director's designee is authorized to execute the Grant Agreement form on behalf of the City if the only obligations of the City are those set forth on the FTA Master Agreement and Certifications and Assurances described in (1) and (2) above; and



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BE IT FURTHER RESOLVED that the DTS Director or the Director's designee is authorized to:

1. Execute any incidental or related agreements in furtherance of the above Grant Agreement, provided that such agreements and documents do not incur additional obligations on the part of the City; and
2. Make minor or editing changes, provided that no substantive additions or deletions are made; and

BE IT FURTHER RESOLVED that if the FTA's subsequent offer to the City for the Grant 1703-2016-5 is made subject to any conditions, requirements, or obligations other than the above-described FTA Master Agreement and Certifications and Assurances, the DTS Director shall submit the Grant Agreement for prior consent and approval by the Council pursuant to ROH Chapter 1, Article 8; and



RESOLUTION

BE IT FINALLY RESOLVED that a copy of this Resolution be transmitted to the DTS Director at the Frank F. Fasi Municipal Building, 650 South King Street, 3rd Floor, Honolulu, Hawaii 96813.

INTRODUCED BY

[Handwritten signature]

(br)

DATE OF INTRODUCTION:

JUL 26 2016
Honolulu, Hawaii

Councilmembers

DOT

U.S. Department of Transportation

FTA

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	1703-2016-5
Temporary Application Number	1703-2016-5
Application Name	Section 5310 Agency Provided Trips and Mobility Management
Application Status	In-Progress
Application Budget Number	0

Part 1: Recipient Information

Name: Honolulu, City & County Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1703	City	HONOLULU, CITY AND COUNTY OF	614644565

Location Type	Address	City	State	Zip
Headquarters	530 S KING ST RM 100	HONOLULU	HI	968133014
Physical Address	650 S KING ST 3RD FL	HONOLULU	HI	96813
Mailing Address	650 SOUTH KING STREET,3RD FLOOR	HONOLULU	HI	96813

Union Information

Union Name	HAWAII TEAMSTERS AND ALLIED WORKERS UNION, LOCAL 996
Address 1	1817 Hart Street
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96819
Contact Name	Ronan Kozuma
Telephone	8088476633
Fax	8088424575

E-mail	loc996@hawaii.rr.com
Website	http://www.hawaiiteamsters.com/

Part 2: Application Information

Title: Section 5310 Agency Provided Trips and Mobility Management

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
1703-2016-5	In-Progress	Grant	6/15/2016	6/15/2016	No

Application Executive Summary

The grant application draws from the current Human Services Transportation Coordination Plan that identifies transportation gaps on Oahu for seniors, persons with disabilities, and persons with low incomes. Grant funds will provide a variety of services and functions that facilitate transportation coordination, and for selected human service agencies to provide transportation for their own clients.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Subject to Pre-Award Authority

No, this application is not subject to Pre-Award Authority.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Strather	Ing	Planner, Transportation Planning Divisio	sing@honolulu.gov	808-768-8348

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5310 - (MAP 21)Formula Grants for Enhanced Mob of Sr. & Ind. w Disab	5310-1A	20513	\$632,705
Local			\$496,556
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$1,129,261

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
1703-2016-5-P1	647-00 NEW FREEDOM (647-PROJECTS)	\$632,705.00	\$496,556.00	\$1,129,261.00	0
1703-2016-5-P1	11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$325,768.00	\$81,442.00	\$407,210.00	0
1703-2016-5-P1	30.09.01 UP TO 50% FEDERAL SHARE	\$306,937.00	\$415,114.00	\$722,051.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Section 5310 Agency Provided Trips and Mobility Management

Project Number	Temporary Project Number	Date Created	Start Date	End Date
1703-2016-5-P1	1703-2016-5-P1	6/15/2016	12/30/2017	12/30/2022

Project Description

STIP OC12; 30.09.01 Agency-Provided Trips (Up to 50% Federal Share) Establish selected human service agencies as transportation providers serving their own clients who currently ride TheHandi-Van, and provide operating funds for the service

STIP OC19; ALI 11.7L.00 Mobility Management, Improve access to transportation for people with disabilities, seniors, and people with low incomes by coordinating transit and human service transportation in the City and County of Honolulu.

Project Benefits

Increases access and efficiencies in public transportation for persons with disabilities, seniors, and people with low-incomes.

Additional Information

None provided.

Location Description

City and County of Honolulu, Island of Oahu

Security

No -- our organization will NOT expend at least 1% of the 5307 funds in this application for security-related projects

Project Location (Urbanized Areas)

EXHIBIT A

UZA Code	Area Name
150000	Hawaii
150550	Urban Honolulu, HI
153480	Kailua (Honolulu County)--Kaneohe, HI

Congressional District Information

State	District	Representative
Hawaii	1	Mark Takai
Hawaii	2	Tulsi Gabbard

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5310 - (MAP 21)Formula Grants for Enhanced Mob of Sr. & Ind. w Disab	5310-1A	20513	\$632,705
Local			\$496,556
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$1,129,261

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1703-2016-5-P1	11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$325,768.00	\$81,442.00	\$407,210.00	0
1703-2016-5-P1	30.09.01 UP TO 50% FEDERAL SHARE	\$306,937.00	\$415,114.00	\$722,051.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
NEW FREEDOM PROJECTS (647-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Agency-Provided Trips. Two subrecipients will be funded under this grant to carry out this activity. Goodwill Industries of Hawaii, Inc. and Responsive Caregivers of Hawaii (private/non-profit): Operate a transportation service for their clients who would otherwise use TheHandi-Van paratransit service for these trips. This will reduce TheHandi-Van's peak hour subscription demand and improve access to transportation for persons with disabilities.

Funding for the Agency-provided trips project will be provided through a combination of grants:

\$306,937 – This application (FFY2014 Section 5310 Urban Area Funds)
 \$104,400 – 1703-2016-__ (FFY2015 Section 5307 Funds)
 \$411,337 – Total Federal Participation

\$371,700 – Honolulu FY 2017 Operating Funds
 \$147,814 – Non-profit General Funds
 \$519,514 – Total Local Participation

\$930,851 Total Project Funding

This project is being performed by two subrecipients. Each agency serves individuals with disabilities, and the transportation services they will operate are eligible for Section 5310 funding.

Subrecipient 1, Goodwill Industries of Hawaii (Goodwill). Goodwill's transportation service for its own clients will be funded by \$179,406 in FFY'14 Section 5310 funds and \$104,400 in FFY'15 Section 5307 funds, plus a local matching share. The ratio of funding for this project is 63% Section 5310 and 37% Section 5307. All of Goodwill's riders are eligible for Section 5310 funds and more than 70% of the riders are traveling for employment-related purposes that are qualified under the FTA JARC program. Therefore, this service is eligible to receive both Section 5307 and 5310 funds.

Subrecipient 2, Responsive Care Givers of Hawaii (RCH). RCH will operate a transportation service for its own clients, all of whom are individuals with disabilities and are eligible for Section 5310 funding.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5310 - (MAP 21)Formula Grants for Enhanced Mob of Sr. & Ind. w Disab	5310-1A	20513	\$306,937
Local			\$415,114
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

EXHIBIT A

Total Eligible Cost	\$722,051
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Milestone Name	Est. Completion Date	Description
Start Date	12/31/2017	Notice to Proceed
End Date	12/31/2020	Activity Complete

Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L))
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Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
NEW FREEDOM PROJECTS (647-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Mobility Management. One subrecipient will be responsible for carrying out this activity. Innovative Paradigms (private/non-profit): Coordinate transit and human service transportation to improve access to transportation for seniors, people with disabilities, and persons with low incomes.

The tasks and responsibilities of the mobility management project include:

- Interagency coordination- identifying agency partners capable of serving needs identified in the community and developing agreements for services to meet these needs
- Planning and managing projects that demonstrate coordinated transportation services
- Coordination project performance monitoring
- Providing travel training for individuals with developmental and cognitive disabilities, and for adults 60 years old and older

Funding:

\$325,768 – This application (FFY2014 Section 5310 Urban Area funds)
 \$81,442 – Honolulu FY'17 Operating Funds
 \$407,210 Total Project Funding Participation

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5310 - (MAP 21)Formula Grants for Enhanced Mob of Sr. & Ind. w Disab	5310-1A	20513	\$325,768
Local			\$81,442
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$407,210

Milestone Name	Est. Completion Date	Description
Start Date	4/13/2019	Notice to Proceed
End Date	12/31/2022	Activity Complete

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.

Certifications & Assurances | FY 2016 C&A Affirmations

Recipient Profile Information

Recipient ID 1703

Recipient Name Honolulu, City & County Of

Certification and Assurance Information

Fiscal Year 2016

Assigned Date 2/26/2016

Due Date 5/26/2016

Certified Date 4/8/2016

Published Certifications and Assurances Document



Certifications and Assurances

Category	Title
01	Required Certifications and Assurances for Each Applicant
02	Lobbying
03	Procurement and Procurement Systems
04	Private Sector Protections
05	Rolling Stock Reviews and Bus Testing
06	Demand Responsive Service
07	Intelligent Transportation Systems
08	Interest and Financing Costs and Acquisition of Capital Assets by Lease
09	Transit Asset Management Plan and Public Transportation Agency Safety Plan
10	Alcohol and Controlled Substances Testing
11	Fixed Guideway Capital Investment Grants Program (New Starts, Small Starts, and Core Capacity Improvement)
12	State of Good Repair Program
13	Grants for Buses and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs
14	Urbanized Area Formula Grants Programs and Passenger Ferry Grant Program
15	Seniors and Individuals with Disabilities Programs
16	Rural Areas and Appalachian Development Programs
17	Tribal Transit Programs (Public Transportation on Indian Reservations Programs)
18	State Safety Oversight Grant Program
19	Public Transportation Emergency Relief Program
20	Expedited Project Delivery Pilot Program
21	Infrastructure Finance Programs

Category	Title
22	Paul S. Sarbanes Transit in Parks Program
23	Hiring Preferences

(H) < 1-23 of 23 > (H)

Affirmation of Applicant

Affirmation of Applicant BY SIGNING BELOW, on behalf of the Applicant, I declare that it has duly authorized me to make these Certifications and Assurances and bind its compliance. Thus, it agrees to comply with all federal laws, regulations, and requirements, follow applicable federal guidance, and comply with the Certifications and Assurances as indicated on the foregoing page applicable to each application its Authorized Representative makes to the Federal Transit Administration (FTA) in federal fiscal year 2016, irrespective of whether the individual that acted on his or her Applicant's behalf continues to represent it.

FTA intends that the Certifications and Assurances the Applicant selects on the other side of this document should apply to each Award for which it now seeks, or may later seek federal assistance to be awarded by FTA during federal fiscal year 2016.

The Applicant affirms the truthfulness and accuracy of the Certifications and Assurances it has selected in the statements submitted with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 et seq., and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31, apply to any certification, assurance or submission made to FTA. The criminal provisions of 18 U.S.C. § 1001 apply to any certification, assurance, or submission made in connection with a federal public transportation program authorized by 49 U.S.C. chapter 53 or any other statute.

In signing this document, I declare under penalties of perjury that the foregoing Certifications and Assurances, and any other statements made by me on behalf of the Applicant are true and accurate.

I Accept the above

Official's Name Michael Formby

Certification Date Apr 06, 2016

Affirmation of Attorney

Affirmation of Applicant's Attorney As the undersigned Attorney for the above named Applicant, I hereby affirm to the Applicant that it has authority under state, local, or tribal government law, as applicable, to make and comply with the Certifications and Assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the Certifications and Assurances have been legally made and constitute legal and binding obligations on it.

I further affirm that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances, or of the performance of its FTA assisted Award.

I Accept the above

Attorney's Name Kathleen Kelly

Certification Date Apr 05, 2016

Cancel

CITY COUNCIL
CITY AND COUNTY OF HONOLULU
HONOLULU, HAWAII
CERTIFICATE

RESOLUTION 16-192

Introduced: 07/26/16 By: ERNEST MARTIN – BY REQUEST Committee: COUNCIL

Title: RESOLUTION AUTHORIZING THE DIRECTOR OF TRANSPORTATION SERVICES OR THE DIRECTOR'S DESIGNEE TO APPLY FOR AND ENTER INTO A GRANT AGREEMENT WITH THE FEDERAL TRANSIT ADMINISTRATION FOR FEDERAL TRANSPORTATION ASSISTANCE AUTHORIZED BY 49 U.S.C. CHAPTER 53, TITLE 23, UNITED STATES CODE, AND OTHER FEDERAL STATUTES ADMINISTERED BY THE FEDERAL TRANSIT ADMINISTRATION.

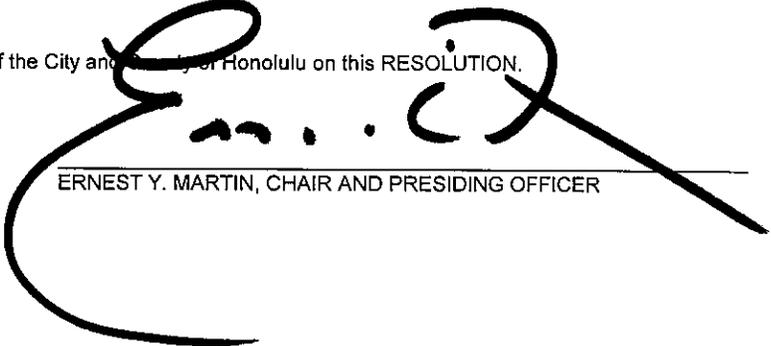
Voting Legend: * = Aye w/Reservations

08/03/16 COUNCIL RESOLUTION 16-192 WAS ADOPTED.
9 AYES: ANDERSON, ELEFANTE, FUKUNAGA, KOBAYASHI, MANAHAN, MARTIN,
MENOR, OZAWA, PINE.

I hereby certify that the above is a true record of action by the Council of the City and County of Honolulu on this RESOLUTION.



GLEN I. TAKAHASHI, CITY CLERK



ERNEST Y. MARTIN, CHAIR AND PRESIDING OFFICER