

# REPORT OF THE COMMITTEE ON INTERGOVERNMENTAL AFFAIRS AND HUMAN SERVICES

## Voting Members

Kymerly Marcos Pine, Chair; Breene Harimoto, Vice-Chair,  
Carol Fukunaga, Ann H. Kobayashi, Joey Manahan

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Committee Meeting Held  
May 22, 2014

Honorable Ernest Y. Martin  
Chair, City Council  
City and County of Honolulu

Mr. Chair:

Your Committee on Intergovernmental Affairs and Human Services, which considered Resolution 14-93 entitled:

"RESOLUTION AUTHORIZING THE EXECUTION OF GRANT APPLICATIONS HI-37-X009 AND HI-57-X009 WITH THE FEDERAL TRANSIT ADMINISTRATION FOR FEDERAL TRANSPORTATION ASSISTANCE AUTHORIZED BY 49 U.S.C. CHAPTER 53, TITLE 23, UNITED STATES CODE, AND OTHER FEDERAL STATUTES ADMINISTERED BY THE FEDERAL TRANSIT ADMINISTRATION,"

introduced on May 5, 2014, reports as follows:

The purpose of the Resolution is to execute grant applications for funds from the Federal Transit Administration for the following projects:

- \$176,138 to promote mobility management for persons with disabilities and persons with low incomes; and
- \$453,946 to enable social service agencies to provide trips presently provided by The Handi-Van.

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**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

ADOPTED ON     JUN 4 2014    

COMMITTEE REPORT NO.     174

# REPORT OF THE COMMITTEE ON INTERGOVERNMENTAL AFFAIRS AND HUMAN SERVICES

## Voting Members

Kymerly Marcos Pine, Chair; Breene Harimoto, Vice-Chair,  
Carol Fukunaga, Ann H. Kobayashi, Joey Manahan

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Committee Meeting Held  
May 22, 2014  
Page 2

Your Committee amended the Resolution to a CD1 version that makes the following changes:

- A. Amends the title of the Resolution to specify that the Director of Transportation Services or the Director's designee is authorized to enter into Grant agreements HI-37-X009 and HI-57-X009 with the FTA.
- B. Adds a WHEREAS paragraph incorporating by reference documents which are included in the Grant Agreements and which set forth obligations of the City.
- C. Adds a WHEREAS paragraph stating that the DTS Director has advised the Council that the award notifications for the Grant Agreements contained no additional special conditions or requirements.
- D. Amends the BE IT RESOLVED paragraph to authorize the DTS Director or the Director's designee to enter into the Grant Agreements with the FTA in substantially the form attached to the Resolution as Exhibit B.
- E. Makes miscellaneous technical and nonsubstantive amendments.

The Director of the Department of Transportation Services testified in support of the Resolution and the CD1 version.

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**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

ADOPTED ON

JUN 4 2014

COMMITTEE REPORT NO. 174

**REPORT OF THE COMMITTEE ON  
INTERGOVERNMENTAL AFFAIRS AND HUMAN SERVICES**

**Voting Members**

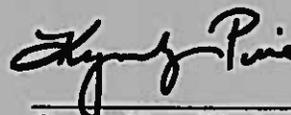
Kymberly Marcos Pine, Chair; Breene Harimoto, Vice-Chair,  
Carol Fukunaga, Ann H. Kobayashi, Joey Manahan

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Committee Meeting Held  
May 22, 2014  
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Your Committee on Intergovernmental Affairs and Human Services is in accord with the intent and purpose of Resolution 14-93, as amended herein, and recommends its adoption in the form attached hereto as Resolution 14-93, CD1. (Ayes: Pine, Harimoto, Manahan - 3; Noes: None; Excused: Fukunaga, Kobayashi - 2.)

Respectfully submitted,



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Committee Chair

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**CITY COUNCIL**  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII

ADOPTED ON     JUN 4 2014    

COMMITTEE REPORT NO.     174



## RESOLUTION

AUTHORIZING THE DIRECTOR OF TRANSPORTATION SERVICES OR THE DIRECTOR'S DESIGNEE TO ENTER INTO GRANT AGREEMENTS HI-37-X009 AND HI-57-X009 WITH THE FEDERAL TRANSIT ADMINISTRATION FOR FEDERAL TRANSPORTATION ASSISTANCE AUTHORIZED BY 49 U.S.C. CHAPTER 53, TITLE 23, UNITED STATES CODE, AND OTHER FEDERAL STATUTES ADMINISTERED BY THE FEDERAL TRANSIT ADMINISTRATION.

WHEREAS, Chapter 1, Article 8, Revised Ordinances of Honolulu 1990, as amended (ROH), requires that any intergovernmental agreement or any amendments thereto which place an obligation upon the City or any department or agency thereof shall require prior City Council consent and approval; and

WHEREAS, ROH Chapter 1, Article 8, also requires that, when carrying out the provisions of any intergovernmental agreement, all applications and/or other official communications which support the application and which are required to be provided by the City or its component departments to any other governmental or quasi-governmental agency shall first be presented to the City Council for its review and approval prior to their transmittal; and

WHEREAS, the Federal Transit Administration (FTA) is an operating administration of the United States Department of Transportation; and

WHEREAS, the Federal Transit Administrator has been delegated authority to award Federal financial assistance for transportation projects; and

WHEREAS, the grants or cooperative agreements for Federal financial assistance will impose certain obligations upon the City, and may require the City to provide the local share of project costs; and

WHEREAS, on November 13, 2013, the Council of the City and County of Honolulu approved Resolution 13-248, CD1, "AUTHORIZING THE FILING OF APPLICATIONS WITH THE FEDERAL TRANSIT ADMINISTRATION FOR FEDERAL TRANSPORTATION ASSISTANCE AUTHORIZED BY TITLE 49 U.S.C. 5316 AND TITLE 49 U.S.C. 5317, UNITED STATES CODE, AND OTHER FEDERAL STATUTES ADMINISTERED BY THE FEDERAL TRANSIT ADMINISTRATION," attached hereto as Exhibit "A" and by reference made a part hereof; and

WHEREAS, pursuant to Resolution 13-248, CD1, the Director, Department of Transportation Services (DTS Director), submitted applications for FTA Grant HI-37-X009 for Title 49 U.S.C. 5316 funds and FTA Grant HI-57-X009 for Title 49 U.S.C. 5317 funds; and

ATTACHMENT TO CR-174



## RESOLUTION

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WHEREAS, the FTA awarded Grant HI-57-X009 on April 10, 2014 and Grant HI-37-X009 on April 25, 2014; and

WHEREAS, the Grant Agreements for the aforesaid grants are attached hereto as Exhibit "B" and by reference made a part hereof; and

WHEREAS, the respective Grant Agreements incorporate by reference the following, which set forth obligations of the City:

- (1) "Federal Transit Administration Master Agreement," October 1, 2013 (<http://www.fta.dot.gov/documents/20-Master.pdf>), a copy of which was transmitted by the DTS Director to the Council by Departmental Communication No. 390, dated May 19, 2014;
- (2) The Certifications and Assurances provided to the FTA by the City, a copy of which was transmitted by the DTS Director to the Council by Departmental Communication No. 388, dated May 19, 2014 (the Department of Transportation Services subsequently advised the Council that the City has by electronic signature agreed to comply with the applicable provisions of all of the groups (i.e., 01 to 24) of Certifications and Assurances set forth therein);

and the foregoing are also by reference made a part hereof; and

WHEREAS, by Departmental Communication No. 389, dated May 19, 2014, the DTS Director advised the Council that the award notifications for the Grant Agreements contained no additional special conditions or requirements; now, therefore,

BE IT RESOLVED by the Council of the City and County of Honolulu that the DTS Director, or the Director's designee, is authorized to enter into Grant Agreements HI-37-X009 and HI-57-X009 on behalf of the City and County of Honolulu with the FTA, in substantially the form attached hereto as Exhibit "B," for Federal assistance authorized by 49 U.S.C. Chapter 53 and Title 23, United States Code and other Federal statutes administered by the FTA; and

BE IT FURTHER RESOLVED:

1. That the DTS Director or the DTS Director's designee is authorized to execute other documents the FTA may require before executing the Federal assistance grants or cooperative agreements, so long as such documents do not incur additional obligations on the part of the City; and



**RESOLUTION**

- 2. That the DTS Director or the Director's designee is authorized to furnish such additional information as the FTA may require before executing the Federal assistance grants or cooperative agreements; and

BE IT FURTHER RESOLVED that any subsequent grant or cooperative agreement with the FTA for said funds shall require prior consent and approval by the Council pursuant to ROH Chapter 1, Article 8; and

BE IT FINALLY RESOLVED that a copy of this Resolution be transmitted to the DTS Director at the Fasi Municipal Building, 650 South King Street, 3<sup>rd</sup> Floor, Honolulu, HI 96813.

INTRODUCED BY:

Ernest Martin (BR)

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DATE OF INTRODUCTION:

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May 5, 2014  
Honolulu, Hawaii

Councilmembers

**EXHIBIT A**

**RESOLUTION 13-248, CD1, ADOPTED 11/13/2013,**

**"AUTHORIZING THE FILING OF APPLICATIONS WITH THE  
FEDERAL TRANSIT ADMINISTRATION FOR FEDERAL  
TRANSPORTATION ASSISTANCE AUTHORIZED BY TITLE 49  
U.S.C. 5316 AND TITLE 49 U.S.C. 5317, UNITED STATES CODE,  
AND OTHER FEDERAL STATUTES ADMINISTERED BY THE  
FEDERAL TRANSIT ADMINISTRATION"**



## RESOLUTION

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**AUTHORIZING THE FILING OF APPLICATIONS WITH THE FEDERAL TRANSIT ADMINISTRATION FOR FEDERAL TRANSPORTATION ASSISTANCE AUTHORIZED BY TITLE 49 U.S.C. 5316 AND TITLE 49 U.S.C. 5317, UNITED STATES CODE, AND OTHER FEDERAL STATUTES ADMINISTERED BY THE FEDERAL TRANSIT ADMINISTRATION.**

WHEREAS, Chapter 1, Article 8, Revised Ordinances of Honolulu 1990, as amended (ROH), requires that any intergovernmental agreement or any amendments thereto which place an obligation upon the City or any department or agency thereof shall require prior City Council consent and approval; and

WHEREAS, ROH Chapter 1, Article 8, also requires that, when carrying out the provisions of any intergovernmental agreement, all applications and/or other official communications which support the application and which are required to be provided by the City or its component departments to any other governmental or quasi-governmental agency shall first be presented to the City Council for its review and approval prior to their transmittal; and

WHEREAS, the City desires to apply for large urbanized area funds apportioned to the State of Hawaii for the Federal Transit Administration (FTA) Section 5316 Job Access and Reverse Commute (JARC) and FTA Section 5317 New Freedom programs; and

WHEREAS, Title 49 U.S.C. 5316, as amended by SAFETEA-LU, requires a recipient of JARC funds to certify that projects selected are derived from a locally developed, coordinated public transit-human services transportation plan developed through a process that includes representatives of public, private and non-profit transportation and human service providers, participation by the public, and representatives addressing the needs of low-income individuals; and

WHEREAS, Title 49 U.S.C. 5317, as amended by SAFETEA-LU, requires a recipient of New Freedom funds to certify that projects selected are derived from a locally developed, coordinated public transit-human services transportation plan developed through a process that includes representatives of public, private and non-profit transportation and human service providers, participation by the public, and representatives addressing the needs of individuals with disabilities; and



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## RESOLUTION

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WHEREAS, on July 15, 2009, the City Council adopted Resolution 09-210, CD1, approving a coordinated public transit-human services transportation plan (Coordination Plan) for Oahu that was developed through a process that includes representatives of public, private and non-profit transportation and human service providers, participation by the public, and representatives addressing the needs of low-income individuals, individuals with disabilities, and elderly individuals; and

WHEREAS, the Coordination Plan was updated in 2012 to ensure that the current needs of low-income individuals, individuals with disabilities, and elderly individuals are being addressed; and

WHEREAS, the transportation projects identified in the Coordination Plan for implementation using JARC and New Freedom funds have been competitively selected; and

WHEREAS, the Federal Transit Administrator has been delegated authority to award Federal financial assistance for transportation projects; and

WHEREAS, the grants or cooperative agreements for Federal financial assistance will impose certain obligations upon the City, and may require the City to provide the local share of project costs; now, therefore

BE IT RESOLVED by the Council of the City and County of Honolulu that the Director, Department of Transportation Services (DTS Director), or the DTS Director's designee, is authorized to execute and file applications on behalf of the City and County of Honolulu with the FTA for Federal assistance authorized by Title 49 U.S.C. 5316 and Title 49 U.S.C. 5317, United States Code, or other Federal statutes administered by the FTA. The applications filed with the FTA shall be consistent with the draft applications attached hereto as Exhibits 1 and 2 and by reference made a part of this Resolution; and

**BE IT FURTHER RESOLVED:**

1. That the DTS Director or the DTS Director's designee may make minor or editing changes, provided that no substantive additions or deletions are made;
2. That the DTS Director or the DTS Director's designee is authorized to execute other documents the FTA may require before awarding the Federal assistance grants or cooperative agreements, so long as such documents do not incur additional obligations on the part of the City;



**RESOLUTION**

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- 3. That the Corporation Counsel or the Corporation Counsel's designee is authorized to execute other documents the FTA may require before awarding the Federal assistance grants or cooperative agreements, so long as such documents do not incur additional obligations on the part of the City; and
- 4. That the DTS Director or the DTS Director's designee is authorized to furnish such additional information as the FTA may require before awarding the Federal assistance grants or cooperative agreements;

and

BE IT FURTHER RESOLVED that any subsequent grant or cooperative agreement with the FTA for said funds shall require prior consent and approval by the Council pursuant to ROH Chapter 1, Article 8; and

BE IT FINALLY RESOLVED that a copy of this Resolution be transmitted to the DTS Director at the Fasi Municipal Building, 650 South King Street, 3rd Floor, Honolulu, HI 96813.

INTRODUCED BY:

Ernest Martin (br)

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DATE OF INTRODUCTION:

October 14, 2013  
Honolulu, Hawaii

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Councilmembers

**EXHIBIT 1****DOT****FTA**

U.S. Department of Transportation

Federal Transit Administration

**Application**

Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Project ID:	HI37X008
Budget Number:	1 - Budget Pending Approval
Project Information:	JARC Service and Coordination

**Part 1: Recipient Information**

Project Number:	HI37X008
Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Address:	650 SOUTH KING STREET 3RD FLOOR, HONOLULU, HI 96813 3017
Telephone:	(808) 768-8348
Facsimile:	(808) 768-4730

**Union Information**

Recipient ID:	1703
Union Name:	HAWAII TEAMSTERS AND ALLIED WORKERS UNION, LOCAL 996
Address 1:	1817 Hart Street
Address 2:	
City:	Honolulu, HI 96819 3205
Contact Name:	Ronan Kozuma
Telephone:	(808) 847-6633
Facsimile:	(808) 842-4575
E-mail:	loc996@hawaii.rr.com
Website:	http://www.hawaii.teamsters.com/

**Part 2: Project Information**

Project Type:	Grant	Gross Project Cost:	\$832,701
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Project Number:	HI37X008	Adjustment Amt:	\$0
Project Description:	JARC Service and Coordination	Total Eligible Cost:	\$832,701
Recipient Type:	City	Total FTA Amt:	\$382,402
FTA Project Mgr:	Catherine Luu (phone 415.744-2730)	Total State Amt:	\$0
Recipient Contact:	Strather ing (phone 808.768-8348)	Total Local Amt:	\$250,299
New/Amendment:	New	Other Federal Amt:	\$0
Amend Reason:	Initial Application	Special Cond Amt:	\$0
		Special Condition:	None Specified
Fed Dom Asst. #:	20516	S.C. Tgt. Date:	None Specified
Sec. of Statute:	5316	S.C. Eff. Date:	None Specified
State Appl. ID:	None Specified	Est. Oblig Date:	None Specified
Start/End Date:	Jun. 30, 2015 - Dec. 31, 2018	Pre-Award Authority?:	No
Recvd. By State:		Fed. Debt Authority?:	No
EO 12372 Rev:	Not Applicable	Final Budget?:	No
Review Date:	None Specified		
Planning Grant?:	NO		
Program Date (STIP/UPWP/FTA Prm Plan):	May. 31, 2013		
Program Page:	16, 17		
Application Type:	Electronic		
Supp. Agreement?:	No		
Debt. Delinq. Details:			

**Urbanized Areas**

UZA ID	UZA Name
150000	HAWAII

**Congressional Districts**

State ID	District Code	District Official
15	1	Colleen W Hanabusa
15	2	Tulsi Gabbard

**Project Details**

This application applies for \$382,402 in FFY2012 5316 funds from the Honolulu, HI UZA.

UZA #150550, Honolulu

**Financial Code(s):**

00 Capital

04 Operating

**ALI(s):**

11.7L.00 Mobility Management

30.09.01 Up to 50% Federal Share

FFY 2012 Amount: \$382,402 (4/27/12 Ted Matley e-mail: \$50 taken from FFY'12 JARC; \$382,452 - \$50)

**UZA #153480, Kailua-Kaneohe (Honolulu County)**

Financial Code(s): None

ALI(s): None

FFY 2012 Amount: \$0

**UZA #43615, Kahului, HI**

Financial Code(s): None

ALI(s): None

FFY 2012 Amount: \$0

**1. Program of Projects – Background Information**

In April 2009, the City and County of Honolulu, through its Department of Transportation Services (DTS), completed a planning and extensive public outreach process that resulted in a "Human Services Transportation Coordination Plan" (Plan). The Plan was updated in 2012 and identifies transportation gaps on Oahu for people with disabilities, seniors and people with low incomes, and provides a prioritized list of projects that meet strategic goals and objectives. The projects in this grant application were drawn from the adopted Plan and were developed through a competitive selection process that was separate from, but coordinated with, the planning process. The projects will improve transportation options for persons with low incomes through improved coordination of all publicly funded transportation on the island of Oahu.

Specifically, the funds requested in this grant application will provide for mobility management programs to provide a variety of services and functions that facilitate transportation coordination, and for selected human service agencies to provide transportation for their own clients.

**a. Mobility Management (STIP #OC18; ALI 11.7L.00)**

FTIP Consistency: Project ID: OC18 (see attachment in TEAM)

Mobility Management is the Plan's top priority for the goal of reducing duplication of services and increasing efficiencies through coordination. The mobility management program will provide a variety of services and functions to facilitate transportation coordination. The primary objective of mobility management is to improve access to transportation for people with disabilities, seniors, and people with low incomes by coordinating transit and human service transportation in the City and County of Honolulu. The tasks and responsibilities of the mobility management program include:

- Identifying and obtaining grant funding;
- Grant writing, management, and reporting
- Interagency coordination- identifying agency partners capable of serving needs identified in the community and developing agreements for services to meet these needs;
- Transportation information and referral services;
- Planning and managing projects that demonstrate coordinated transportation services;
- Coordination project performance monitoring;
- Managing transportation service agreements, contracts and oversight of coordination projects;
- Providing travel training for individuals with developmental and cognitive disabilities, and for adults 60 years old and older; and
- Facilitating oversight committee meetings

Federal assistance for the mobility management program will be provided through a combination of grants:  
 \$176,138 — This application (FFY2012 Section 5316 Urban Area Funds)  
 \$440,882 — Application HI-90-X0\_\_ (Section 5307\* - pending)  
 \$616,800 Total Federal Participation

(\*Note: Section 5316 and Section 5317 funds apportioned to the Governor for urbanized areas less than 200,000 population will be transferred to the Section 5307 apportionment for Kailua (Honolulu, HI)-Kaneohe UZA #163480.)

The 20% local matching share will be provided by the Department of Transportation Services through its operating budget.

b. Agency-Provided Trips (STIP #OC16)  
FTIP Consistency: Project ID: OC16 (see attachment in TEAM)

This project ranked as a top priority for the goal of improving TheHandi-Van timeliness and trip length. Honolulu's 2009 FTA Triennial Review includes a finding that "[TheHandi-Van trip] denials are an ongoing problem and the supply of service does not meet the demand for service." A key factor contributing to trip denials is the number of subscription trips during morning and evening peak travel hours, which exceeds the 50 percent maximum allowed by 49 CFR Part 37. This project will reduce TheHandi-Van's peak hour subscription demand by providing operating assistance to selected human service agencies as transportation providers serving their own clients who are presently carried by TheHandi-Van. The majority of the agency's riders qualify as low-income and will use the transportation for employment-related purposes such as job training. Significant service improvements to the TheHandi-Van may eventually be realized as the program reaches sufficient size.

Federal assistance for the agency-provided trips project will be provided through a combination of grants:

\$208,284 — This application (FFY2012 Section 5316 Urban Area Funds)  
\$247,682 — Application HI-57-X00\_ (FFY2012 Section 5317 Urban Area funds -- pending)  
\$88,827 — Application HI-90-X0\_\_ (Section 5307 - pending)  
\$542,773 Total Federal Participation

The Department of Transportation Services will be responsible for ensuring that the required local match will be made available for the project by its funding partners.

2. Grantee contact information:  
Sirather Ing, Planner  
Department of Transportation Services  
City and County of Honolulu  
Email: [sing@honolulu.gov](mailto:sing@honolulu.gov)  
Phone: (808) 768-8348

### Earmarks

No information found.

### Security

No information found.

## Part 3: Budget

### Project Budget

	Quantity	ETA Amount	Tot. Elig. Cost
<b>SCOPE</b>			
117-00 OTHER CAPITAL ITEMS (BUS)	0	\$176,138.00	\$220,173.00

<b>ACTIVITY</b>			
11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	0	\$176,138.00	\$220,173.00
<b>SCOPE</b>			
300-00 OPERATING ASSISTANCE	0	\$206,264.00	\$412,528.00
<b>ACTIVITY</b>			
30.09.01 AGENCY-PROVIDED TRIPS (UP TO 50% FEDERAL SHARE)	0	\$206,264.00	\$412,528.00
<b>Estimated Total Eligible Cost:</b>			<b>\$632,701.00</b>
<b>Federal Share:</b>			<b>\$382,402.00</b>
<b>Local Share:</b>			<b>\$250,299.00</b>

**OTHER (Scopes and Activities not included in Project Budget Totals)****None****No Amendment Funding Source Information is available for the selected project****Alternative Fuel Codes****Extended Budget Descriptions**

117-00	OTHER CAPITAL ITEMS (BUS)	0	\$176,138.00	\$220,173.00
Details: One subrecipient will be responsible for carrying out this activity. Innovative Paradgms (private/non-profit): Responsible for coordinating transit and human service transportation to improve access to transportation for seniors, people with disabilities, and persons with low incomes.				
11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	0	\$176,138.00	\$220,173.00
Details: One subrecipient will be responsible for carrying out this activity. Innovative Paradgms (private/non-profit): Responsible for coordinating transit and human service transportation to improve access to transportation for seniors, people with disabilities, and persons with low incomes.				
30.09.01	AGENCY-PROVIDED TRIPS (UP TO 50% FEDERAL SHARE)	0	\$206,264.00	\$412,528.00
Details: One subrecipient will be responsible for carrying out the Agency-Provided Trips activity to operate				

transportation service for their clients who would otherwise use TheHandi-Van paratransit service for these trips. This will reduce TheHandi-Van's peak hour subscription demand and improve access to transportation for persons with disabilities

Goodwill Industries of Hawaii, Inc. (private/non-profit)

## Changes since the Prior Budget

No information found.

### Part 4. Milestones

11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L)) 0 \$176,138 \$220,173

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	Notice to Proceed	Apr. 01, 2016
2.	Activity Complete	Dec. 31, 2018

30.09.01 AGENCY-PROVIDED TRIPS (UP TO 50% FEDERAL SHARE) 0 \$206,264 \$412,528

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	Notice to Proceed	Jun. 30, 2015
2.	Activity Complete	Jun. 30, 2018

### Part 5. Environmental Findings

117L.00 MOBILITY MANAGEMENT (5302(A)(1)(L)) 0 \$176,138 \$220,173

#### Finding No. 1 - Class II(c)

##### **C04 - Planning and administrative activity**

Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

**Finding Details:** The funds in this activity line item will pay for program management activities for the Mobility Management program.

300901 AGENCY-PROVIDED TRIPS (UP TO 50% FEDERAL SHARE) 0 \$206,264 \$412,528

**Finding No. 1 - Class II(c)**

**C04 - Planning and administrative activity**

Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Finding Details: The funds in this activity line item will pay for transit service provided by the City/County of Honolulu and its local transportation service organizations.

**Part 6: Fleet Status**

**No information found.**

**Part 7. FTA Comments**

**No information found.**

**Part 8: Results of Reviews**

The reviewer did not find any errors

**EXHIBIT 2****DOT****FTA**

U.S. Department of Transportation

Federal Transit Administration

**Application**

Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Project ID:	HI-57-X008
Budget Number:	1 - Budget Pending Approval
Project Information:	New Freedom Service & Coordination

**Part 1: Recipient Information**

Project Number:	HI-57-X008
Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Address:	650 SOUTH KING STREET 3RD FLOOR, HONOLULU, HI 96813 3017
Telephone:	(808) 768-8348
Facsimile:	(808) 768-4730

**Union Information**

Recipient ID:	1703
Union Name:	HAWAII TEAMSTERS AND ALLIED WORKERS UNION, LOCAL 996
Address 1:	1817 Hart Street
Address 2:	
City:	Honolulu, HI 96819 3205
Contact Name:	Ronan Kozuma
Telephone:	(808) 847-8633
Facsimile:	(808) 842-4575
E-mail:	loc996@hawaii.rr.com
Website:	http://www.hawaiiteamsters.com/

**Part 2: Project Information**

Project Type:	Grant	Gross Project Cost:	\$495,364
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Project Number:	HI57X008	Adjustment Amt:	\$0
Project Description:	New Freedom Service & Coordination	Total Eligible Cost:	\$495,364
Recipient Type:	City	Total FTA Amt:	\$247,882
FTA Project Mgr:	Catherine Luu (Phone 415.744-2730)	Total State Amt:	\$0
Recipient Contact:	Strather Ing (Phone 808.788-8348)	Total Local Amt:	\$247,882
New/Amendment:	New	Other Federal Amt:	\$0
Amend Reason:	Initial Application	Special Cond Amt:	\$0
Fed Dom Asst. #:	20521	Special Condition:	None Specified
Sec. of Statute:	5317	S.C. Tgt. Date:	None Specified
State Appl. ID:	None Specified	S.C. Eff. Date:	None Specified
Start/End Date:	Jun. 30, 2015 - Jun. 30, 2018	Est. Oblig Date:	None Specified
Recvd. By State:		Pre-Award Authority?:	No
EO 12372 Rev:	Not Applicable	Fed. Debt Authority?:	No
Review Date:	None Specified	Final Budget?:	No
Planning Grant?:	NO		
Program Date (STIP/UPWP/FTA Prm Plan):	May. 31, 2013		
Program Page:	16, 17		
Application Type:	Electronic		
Supp. Agreement?:	No		
Debt. Definq. Details:			

**Urbanized Areas**

UZA ID	UZA Name
150000	HAWAII

**Congressional Districts**

State ID	District Code	District Official
15	1	Colleen W Hanabusa
15	2	Tulsi Gabbard

**Project Details**

This application applies for \$247,882 in FFY2012 Section 5317 funds from the Honolulu, HI UZA.

UZA #150550, Honolulu

Financial Code(s): 00 Capital, 04 Operating  
 ALI(s):  
 30.09.01 Up to 50% Federal Share  
 FFY 2012 Amount: \$247,882

UZA #153480, Kailua-Kaneohe (Honolulu County)  
 Financial Code(s): None  
 ALI(s): None  
 FFY 2012 Amount: \$0

UZA #43615, Kahului, HI  
 Financial Code(s): None  
 ALI(s): None  
 FFY 2012 Amount: \$0

#### 1. Program of Projects - Background Information

In April 2009, the City and County of Honolulu, through its Department of Transportation Services (DTS), completed a planning and extensive public outreach process that resulted in a "Human Services Transportation Coordination Plan" (Plan). The Plan was updated in 2012 and identifies transportation gaps on Oahu for people with disabilities, seniors and people with low incomes, and provides a prioritized list of projects that meet strategic goals and objectives. The projects in this grant application were drawn from the adopted Plan and were developed through a competitive selection process that was separate from, but coordinated with, the planning process. The projects will improve transportation options for persons with low incomes through improved coordination of all publicly funded transportation on the island of Oahu.

Specifically, the funds requested in this grant application will provide for selected human service agencies to provide transportation for their own clients.

##### a. Agency Provided Trips (STIP #OC13; ALI 30.09.01) FTIP Consistency: Project ID: OC13 (see attachment in TEAM)

This project ranked as a top priority for the goal of improving TheHandi-Van timeliness and trip length. Honolulu's 2009 FTA Triennial Review includes a finding that "[TheHandi-Van trip] denials are an ongoing problem and the supply of service does not meet the demand for service." A key factor contributing to trip denials is the number of subscription trips during morning and evening peak travel hours, which exceeds the 50 percent maximum allowed by 49 CFR Part 37. This project will reduce TheHandi-Van's peak hour subscription demand by providing operating assistance to selected human service agencies as transportation providers serving their own clients who are presently carried by TheHandi-Van. Significant service improvements to the TheHandi-Van may eventually be realized as the program reaches sufficient size.

Federal assistance for the agency-provided trips project will be provided through a combination of grants:

\$247,882 — This application (FFY2012 Section 5317 Urban Area Funds)  
 \$206,264 — Application HI-37-X00\_ (FFY2012 Section 5316 Urban Area funds – pending)  
 \$88,827 — Application HI-90-X0\_\_ (Section 5307 - pending)  
 \$542,773 Total Federal Participation

The Department of Transportation Services will be responsible for ensuring that the required local match will be made available for the project by its funding partners.

2. Grantee contact information:  
 Strather Ing, Planner  
 Department of Transportation Services  
 City and County of Honolulu  
 Email: [sing@honolulu.gov](mailto:sing@honolulu.gov)  
 Phone: (808) 768-8348

#### Earmarks

No information found.

Security

No information found.

**Part 3: Budget**

Project Budget

	Quantity	ETA Amount	Tot. Elig. Cost
<b>SCOPE</b>			
300-00 OPERATING ASSISTANCE	0	\$247,682.00	\$495,364.00
<b>ACTIVITY</b>			
30.09.01 UP TO 50% FEDERAL SHARE	0	\$247,682.00	\$495,364.00
<b>Estimated Total Eligible Cost:</b>			<b>\$495,364.00</b>
<b>Federal Share:</b>			<b>\$247,682.00</b>
<b>Local Share:</b>			<b>\$247,682.00</b>

OTHER (Scopes and Activities not included in Project Budget Totals)

None

No Amendment Funding Source information is available for the selected project

Alternative Fuel Codes

Extended Budget Descriptions

300-00	OPERATING ASSISTANCE	0	\$247,682.00	\$495,364.00
<p>Details: One subrecipient will be responsible for carrying out the Agency-Provided Trips activity to operate transportation service for their clients who would otherwise use TheHandi-Van paratransit service for these trips. This will reduce TheHandi-Van's peak hour subscription demand and improve access to transportation for persons with disabilities.</p> <p>Goodwill Industries of Hawaii, Inc. (private/non-profit)</p>				
30.09.01	UP TO 50% FEDERAL SHARE	0	\$247,682.00	\$495,364.00

Details: One subrecipient will be responsible for carrying out the Agency-Provided Trips activity to operate transportation service for their clients who would otherwise use TheHandi-Van paratransit service for these trips. This will reduce TheHandi-Van's peak hour subscription demand and improve access to transportation for persons with disabilities.

Goodwill Industries of Hawaii, Inc. (private/non-profit)

## Changes since the Prior Budget

No information found.

### Part 4. Milestones

30.09.01 UP TO 50% FEDERAL SHARE 0 \$247,682 \$495,364

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	Notice to Proceed	Jun. 30, 2015
2.	Activity Complete	Jun. 30, 2018

### Part 5. Environmental Findings

300901 UP TO 50% FEDERAL SHARE 0 \$247,682 \$495,364

#### Finding No. 1 - Class II(c)

##### C04 - Planning and administrative activity

Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Finding Details: The funds in this activity line item will pay for transit service provided by the City/County of Honolulu and its local transportation service organizations.

### Part 6: Fleet Status

No information found.

### Part 7. FTA Comments

No information found.

## Part 8: Results of Reviews

The reviewer did not find any errors

CITY COUNCIL  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII  
CERTIFICATE

**RESOLUTION 13-248, CD1**

Introduced: 10/14/13 By: ERNEST MARTIN (BR)

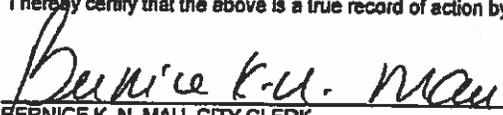
Committee: INTERGOVERNMENTAL  
AFFAIRS AND HUMAN  
SERVICES

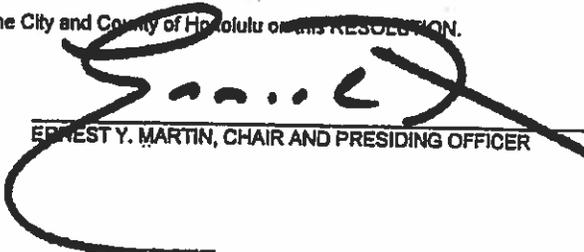
Title: RESOLUTION AUTHORIZING THE FILING OF APPLICATIONS WITH THE FEDERAL TRANSIT ADMINISTRATION FOR FEDERAL TRANSPORTATION ASSISTANCE AUTHORIZED BY TITLE 49 U.S.C. 5316 AND TITLE 49 U.S.C. 5317, UNITED STATES CODE, AND OTHER FEDERAL STATUTES ADMINISTERED BY THE FEDERAL TRANSIT ADMINISTRATION.

Voting Legend: \* = Aye w/Reservations

10/31/13	INTERGOVERNMENTAL AFFAIRS AND HUMAN SERVICES	CR-353 – RESOLUTION REPORTED OUT OF COMMITTEE FOR ADOPTION AS AMENDED IN CD1 FORM.
11/13/13	COUNCIL	CR-353 AND RESOLUTION 13-248, CD1 WERE ADOPTED. 8 AYES: ANDERSON, CHANG, HARIMOTO, KOBAYASHI, MANAHAN, MARTIN, MENOR, PINE. 1 ABSENT: FUKUNAGA.

I hereby certify that the above is a true record of action by the Council of the City and County of Honolulu on this RESOLUTION.

  
BERNICE K. N. MAU, CITY CLERK

  
ERNEST Y. MARTIN, CHAIR AND PRESIDING OFFICER

**EXHIBIT B — FTA GRANT AGREEMENTS HI-37-X009  
AND HI-57-X009**

**DOT****FTA**

U.S. Department of Transportation

Federal Transit Administration

**Application**

Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Project ID:	HI-37-X009-00
Budget Number:	1 - Budget Approved
Project Information:	JARC Service and Coordination

**Part 1: Recipient Information**

Project Number:	HI-37-X009-00
Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Address:	650 SOUTH KING STREET 3RD FLOOR, HONOLULU, HI 96813 3017
Telephone:	(808) 768-8348
Facsimile:	(808) 768-4730

**Union Information**

Recipient ID:	1703
Union Name:	HAWAII TEAMSTERS AND ALLIED WORKERS UNION, LOCAL 996
Address 1:	1817 Hart Street
Address 2:	
City:	Honolulu, HI 96819 3205
Contact Name:	Ronan Kozuma
Telephone:	(808) 847-6633
Facsimile:	(808) 842-4575
E-mail:	loc996@hawaii.rr.com
Website:	http://www.hawaiiteamsters.com/

**Part 2: Project Information**

Project Type:	Grant	Gross Project Cost:	\$632,701
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Project Number:	HI-37-X009-00	Adjustment Amt:	\$0
Project Description:	JARC Service and Coordination	Total Eligible Cost:	\$632,701
Recipient Type:	City	Total FTA Amt:	\$382,402
FTA Project Mgr:	Catherine Luu (phone 415.744-2730)	Total State Amt:	\$0
Recipient Contact:	Strather Ing (phone 808.768-8348)	Total Local Amt:	\$250,299
New/Amendment:	New	Other Federal Amt:	\$0
Amend Reason:	Initial Application	Special Cond Amt:	\$0
		Special Condition:	None Specified
Fed Dom Asst. #:	20516	S.C. Tgt. Date:	None Specified
Sec. of Statute:	5316	S.C. Eff. Date:	None Specified
State Appl. ID:	None Specified	Est. Oblig Date:	None Specified
Start/End Date:	Jun. 30, 2015 - Dec. 31, 2018	Pre-Award Authority?:	No
Recvd. By State:	Mar. 27, 2014	Fed. Debt Authority?:	No
EO 12372 Rev:	Not Applicable	Final Budget?:	No
Review Date:	None Specified		
Planning Grant?:	NO		
Program Date (STIP/JPWP/FTA Prm Plan) :	Mar. 25, 2014		
Program Page:	16, 17		
Application Type:	Electronic		
Supp. Agreement?:	No		
Debt. Delinq. Details:			

**Urbanized Areas**

UZA ID	UZA Name
150550	URBAN HONOLULU, HI

**Congressional Districts**

State ID	District Code	District Official
15	1	Colleen W Hanabusa
15	2	Tulsi Gabbard

**Project Details**

This application applies for \$382,402 in FFY2012 5316 funds from the Honolulu, HI UZA.

UZA #150550, Honolulu

## Financial Code(s):

00 Capital

04 Operating

## ALI(s):

11.7L.00 Mobility Management

30.09.01 Up to 50% Federal Share

FFY 2012 Amount: \$382,402 (4/27/12 Ted Matley e-mail: \$50 taken from FFY'12 JARC; \$382,452 - \$50)

UZA #153480, Kailua-Kaneohe (Honolulu County)

Financial Code(s): None

ALI(s): None

FFY 2012 Amount: \$0

UZA #43615, Kahului, HI

Financial Code(s): None

ALI(s): None

FFY 2012 Amount: \$0

## 1. Program of Projects -- Background Information

In April 2009, the City and County of Honolulu, through its Department of Transportation Services (DTS), completed a planning and extensive public outreach process that resulted in a "Human Services Transportation Coordination Plan" (Plan). The Plan was updated in 2012 and identifies transportation gaps on Oahu for people with disabilities, seniors and people with low incomes, and provides a prioritized list of projects that meet strategic goals and objectives. The projects in this grant application were drawn from the adopted Plan and were developed through a competitive selection process that was separate from, but coordinated with, the planning process. The projects will improve transportation options for persons with low incomes through improved coordination of all publicly funded transportation on the island of Oahu.

Specifically, the funds requested in this grant application will provide for mobility management programs to provide a variety of services and functions that facilitate transportation coordination, and for selected human service agencies to provide transportation for their own clients.

## a. Mobility Management (STIP #OC18; ALI 11.7L.00)

FTIP Consistency: Project ID: OC18 (see attachment in TEAM)

Mobility Management is the Plan's top priority for the goal of reducing duplication of services and increasing efficiencies through coordination. The mobility management program will provide a variety of services and functions to facilitate transportation coordination. The primary objective of mobility management is to improve access to transportation for people with disabilities, seniors, and people with low incomes by coordinating transit and human service transportation in the City and County of Honolulu. The tasks and responsibilities of the mobility management program include:

- Identifying and obtaining grant funding;
- Grant writing, management, and reporting
- Interagency coordination- identifying agency partners capable of serving needs identified in the community and developing agreements for services to meet these needs;
- Transportation information and referral services;
- Planning and managing projects that demonstrate coordinated transportation services;
- Coordination project performance monitoring;
- Managing transportation service agreements, contracts and oversight of coordination projects;
- Providing travel training for individuals with developmental and cognitive disabilities, and for adults 60 years old and older; and
- Facilitating oversight committee meetings

Federal assistance for the mobility management program will be provided through a combination of grants:

\$176,138 — This application (FFY2012 Section 5316 Urban Area Funds)

\$440,662 — Application HI-90-X034 (Section 5307\* - pending)

\$616,800 Total Federal Participation

(\*Note: Section 5316 and Section 5317 funds apportioned to the Governor for urbanized areas less than 200,000 population will be transferred to the Section 5307 apportionment for Kailua (Honolulu, HI)-Kaneohe UZA #153480.)

The 20% local matching share will be provided by the Department of Transportation Services through its operating budget.

b. Agency-Provided Trips (STIP #OC13)  
FTIP Consistency: Project ID: OC13 (see attachment in TEAM)

This project ranked as a top priority for the goal of improving TheHandi-Van timeliness and trip length. Honolulu's 2009 FTA Triennial Review includes a finding that "[TheHandi-Van trip] denials are an ongoing problem and the supply of service does not meet the demand for service." A key factor contributing to trip denials is the number of subscription trips during morning and evening peak travel hours, which exceeds the 50 percent maximum allowed by 49 CFR Part 37. This project will reduce TheHandi-Van's peak hour subscription demand by providing operating assistance to selected human service agencies as transportation providers serving clients who are presently carried by TheHandi-Van. The majority of these riders qualify as low-income and will use the transportation for employment-related purposes such as job training. Significant service improvements to the TheHandi-Van may eventually be realized as the program reaches sufficient size.

The JARC funds will provide transportation for clients of the subrecipient (Goodwill)'s Adult Day Health (ADH) Medicaid Waiver program. The ADH program provides group supports in self-advocacy and self-sufficiency skills for people with developmental disabilities. The Hawaii Department of Human Services (DHS) administers the Medicaid Home and Community-based Services (H&CBS) program, and the Hawaii Department of Health's Developmental Disabilities Division (DOH-DDD) operates and authorizes services for people with developmental disabilities. The main purpose of the ADH program is to develop and implement creative alternatives to placing people with developmental disabilities in an employment setting or in the community instead of hospitals or facilities. The program also focuses on vocational and job skills training, opportunities for meaningful participation in the community, the development of associations with community members, discovering ways to contribute, and establishing roles of leadership and partnerships in one's community.

The ADH program meets their clients' vocational and job skills training outcomes through partnerships with other non-profit agencies to gain real life job experience completing volunteer work, and through person-centered goals that are established at the participants' Individual Service meeting. These goals range from basic typing skills to simple food preparation classes.

The subrecipient completes quarterly quality assurance checks and summarizes these reports annually to ensure that the program is meeting the vocational training needs and goals established for its participants.

Federal assistance for the agency-provided trips project will be provided through a combination of grants:

\$206,264 — This application (FFY2012 Section 5316 Urban Area Funds)  
\$247,682 — Application HI-57-X009 (FFY2012 Section 5317 Urban Area funds – pending)  
\$88,827 — Application HI-90-X034 (Section 5307 - pending)  
\$542,773 Total Federal Participation

The Department of Transportation Services will be responsible for ensuring that the required local match will be made available for the project by its funding partners. Subrecipient's match will be provided from their general funds.

2. Grantee contact information:  
Strather Ing, Planner  
Department of Transportation Services  
City and County of Honolulu  
Email: [sing@honolulu.gov](mailto:sing@honolulu.gov)  
Phone: (808) 768-8348

## Earmarks

No information found.

Security

No information found.

### Part 3: Budget

Project Budget

	<u>Quantity</u>	<u>FTA Amount</u>	<u>Tot. Elig. Cost</u>
<u>SCOPE</u>			
117-00 OTHER CAPITAL ITEMS (BUS)	0	\$176,138.00	\$220,173.00
<u>ACTIVITY</u>			
11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	0	\$176,138.00	\$220,173.00
<u>SCOPE</u>			
300-00 OPERATING ASSISTANCE	0	\$206,264.00	\$412,528.00
<u>ACTIVITY</u>			
30.09.01 AGENCY-PROVIDED TRIPS (UP TO 50% FEDERAL SHARE)	0	\$206,264.00	\$412,528.00
<b>Estimated Total Eligible Cost:</b>			<b>\$632,701.00</b>
<b>Federal Share:</b>			<b>\$382,402.00</b>
<b>Local Share:</b>			<b>\$250,299.00</b>

OTHER (Scopes and Activities not included in Project Budget Totals)

None

SOURCES OF FEDERAL FINANCIAL ASSISTANCE

<u>UZA ID</u>	<u>Accounting Classification</u>	<u>FPC</u>	<u>FY</u>	<u>SEC</u>	<u>Previously Approved</u>	<u>Amendment Amount</u>	<u>Total</u>
150550	2012.25.37.JL.2	00	2014	37	\$0.00	\$176,138.00	\$176,138.00
150550	2012.25.37.JL.2	04	2014	37	\$0.00	\$206,264.00	\$206,264.00
<b>Total Previously Approved:</b>						<b>\$0.00</b>	
<b>Total Amendment Amount:</b>						<b>\$382,402.00</b>	





The reviewer did not find any errors

## Part 9: Agreement

UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT  
(FTA G-20, October 1, 2013)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official's electronic signature is entered for this Grant Agreement, FTA has Awarded Federal assistance in support of the Project described below. Upon Execution of this Grant Agreement by the Grantee named below, the Grantee affirms this FTA Award, and enters into this Grant Agreement with FTA. The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(19), October 1, 2013, <http://www.fta.dot.gov/documents/20-Master.pdf>
- (2) The Certifications and Assurances applicable to the Project that the Grantee has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE GRANTEE DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING THE DATE OF THIS FTA AWARD SET FORTH HEREIN.

### FTA AWARD

FTA hereby awards a Federal grant as follows:

Project No: HI-37-X009-00

Grantee: HONOLULU, CITY AND COUNTY OF

Citation of Statute(s) Authorizing Project: 49 USC 5316 - Job Access and Reverse Commute/TEA-21 3037

Estimated Total Eligible Cost (in U.S. Dollars): \$632,701

Maximum Total FTA Amount Awarded (in U.S. Dollars): \$382,402

Amount of This FTA Award (in U.S. Dollars): \$382,402

Maximum Percentage(s) of FTA Participation:

Percentages of Federal participation are based on amounts included in the Approved Project Budget, modified as set forth in the text following the Project Description.

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Project Certification Date: 4/15/2014

Project Description:

JARC Service and Coordination

The Project Description includes information describing the Project within the Project Application submitted to

FTA, and the Approved Project Budget, modified by any additional statements displayed in this Grant Agreement, and, to the extent FTA concurs, statements in other documents including Attachments entered into TEAM-Web.

Awarded By:  
Leslie Rogers  
Regional Administrator  
FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
04/25/2014

## HI-37-X009-00 Quarterly Narrative Report

Jan. 01, 2014 through Mar. 31, 2014  
As Of Apr. 28, 2014

**(DRAFT)**

MS/P Report In Progress , FFR Submitted

### Part 1: Recipient Information

Project Number:	HI-37-X009-00
Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Address:	650 SOUTH KING STREET 3RD FLOOR, HONOLULU, HI 96813 3017
Telephone:	(808) 768-8348
Facsimile:	(808) 768-4730

### Part 2: Project Information

Project No:	HI-37-X009-00
Brief Desc:	JARC Service and Coordination
FTA Project Mgr:	Catherine Luu (phone 415.744-2730)
Start/End Date:	Jun. 30, 2015 - Dec. 31, 2018
Gross Project Cost:	\$632,701
Adjustment Amt:	\$0
Total Eligible Cost:	\$632,701
Total FTA Amt:	\$382,402
Total State Amt:	\$0
Total Local Amt:	\$250,299
Other Federal Amt:	\$0

### Part 3: Federal Financial Report

#### Financial Status

	<u>Previous</u>	<u>This Period</u>	<u>Cumulative</u>
A. Federal Cash on Hand at Beginning of Period			\$0
B. Federal Cash Receipts			\$0
C. Federal Cash Disbursements			\$0
D. Federal Cash on Hand at End of Period			\$0
E. Total Federal Funds Authorized			\$0
F. Federal Share of Expenditures	\$0	\$0	\$0
G. Recipient Share of Expenditures	\$0	\$0	\$0
H. Total Expenditures( F + G )	\$0	\$0	\$0
I. Federal Share of Unliquidated Obligations			\$0
J. Recipient Share of Unliquidated Obligations			\$0
K. Total Unliquidated Obligations( I + J )			\$0
L. Total Federal Share ( F + I )			\$0
M. Unobligated Balance of Federal Funds ( E - L )			\$0
N. Total Recipient Share Required			\$0
O. Remaining Recipient Share to be provided N - ( G + J )			\$0
P. Federal Program Income on Hand at Beginning of Period			\$0
Q. Total Federal Program income earned			\$0
R. Federal Program income expended in accordance with the deduction alternative			\$0
S. Federal Program income expended in accordance with the addition alternative			\$0
T. Federal Program income expended on allowable Transit Capital and Operating expenses			\$0
U. Federal Unexpended Program income ( P + Q - R or s or T )			\$0

**Indirect Expense**

Type	N/A
Rate	0.00%
Base	\$0
Amount Charged	\$0
Federal Share	\$0

**Part 4. Milestone/Progress Report**

<b>11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))</b>	<u>Quantity</u> 0	<u>FTA Amount</u> \$176,138	<u>Elig. Proj. Cost</u> \$220,173
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	<u>Milestone Description</u>	<u>Orig. Est. Comp. Date</u>	<u>Rev. Est. Comp. Date</u>	<u># Rev</u>	<u>Actual Comp. Date</u>	<u>Cont. Code</u>
1.	Notice to Proceed	4/1/2016				
2.	Activity Complete	12/31/2018				

<b>30.09.01 AGENCY-PROVIDED TRIPS (UP TO 50% FEDERAL SHARE)</b>	<u>Quantity</u> 0	<u>FTA Amount</u> \$206,264	<u>Elig. Proj. Cost</u> \$412,528
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	<u>Milestone Description</u>	<u>Orig. Est. Comp. Date</u>	<u>Rev. Est. Comp. Date</u>	<u># Rev</u>	<u>Actual Comp. Date</u>	<u>Cont. Code</u>
1.	Notice to Proceed	6/30/2015				
2.	Activity Complete	6/30/2018				

**DOT****FTA**

U.S. Department of Transportation

Federal Transit Administration

## Application

Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Project ID:	HI-57-X009-00
Budget Number:	1 - Budget Approved
Project Information:	New Freedom Service & Coordination

### Part 1: Recipient Information

Project Number:	HI-57-X009-00
Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Address:	650 SOUTH KING STREET 3RD FLOOR, HONOLULU, HI 96813 3017
Telephone:	(808) 768-8348
Facsimile:	(808) 768-4730

### Union Information

Recipient ID:	1703
Union Name:	HAWAII TEAMSTERS AND ALLIED WORKERS UNION, LOCAL 996
Address 1:	1817 Hart Street
Address 2:	
City:	Honolulu, HI 96819 3205
Contact Name:	Ronan Kozuma
Telephone:	(808) 847-6633
Facsimile:	(808) 842-4575
E-mail:	loc996@hawaii.rr.com
Website:	http://www.hawaiiteamsters.com/

### Part 2: Project Information

Project Type:	Grant	Gross Project Cost:	\$495,364
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Project Number:	HI-57-X009-00	Adjustment Amt:	\$0
Project Description:	New Freedom Service & Coordination	Total Eligible Cost:	\$495,364
Recipient Type:	City	Total FTA Amt:	\$247,682
FTA Project Mgr:	Catherine Luu (Phone 415.744-2730)	Total State Amt:	\$0
Recipient Contact:	Strather Ing (Phone 808.768-8348)	Total Local Amt:	\$247,682
New/Amendment:	New	Other Federal Amt:	\$0
Amend Reason:	Initial Application	Special Cond Amt:	\$0
Fed Dom Asst. #:	20521	Special Condition:	None Specified
Sec. of Statute:	5317	S.C. Tgt. Date:	None Specified
State Appl. ID:	None Specified	S.C. Eff. Date:	None Specified
Start/End Date:	Jun. 30, 2015 - Jun. 30, 2018	Est. Oblig Date:	None Specified
Recvd. By State:	Mar. 27, 2014	Pre-Award Authority?:	No
EO 12372 Rev:	Not Applicable	Fed. Debt Authority?:	No
Review Date:	None Specified	Final Budget?:	No
Planning Grant?:	NO		
Program Date (STIP/UPWP/FTA Prm Plan) :	Mar. 25, 2014		
Program Page:	16		
Application Type:	Electronic		
Supp. Agreement?:	No		
Debt. Delinq. Details:			

**Urbanized Areas**

UZA ID	UZA Name
150550	URBAN HONOLULU, HI

**Congressional Districts**

State ID	District Code	District Official
15	1	Colleen W Hanabusa
15	2	Tulsi Gabbard

**Project Details**

This application applies for \$247,682 in FFY2012 Section 5317 funds from the Honolulu, HI UZA.

UZA #150550, Honolulu

Financial Code(s): 00 Capital, 04 Operating  
ALI(s):  
30.09.01 Up to 50% Federal Share  
FFY 2012 Amount: \$247,682

UZA #153480, Kailua-Kaneohe (Honolulu County)  
Financial Code(s): None  
ALI(s): None  
FFY 2012 Amount: \$0

UZA #43615, Kahului, HI  
Financial Code(s): None  
ALI(s): None  
FFY 2012 Amount: \$0

#### 1. Program of Projects - Background Information

In April 2009, the City and County of Honolulu, through its Department of Transportation Services (DTS), completed a planning and extensive public outreach process that resulted in a "Human Services Transportation Coordination Plan" (Plan). The Plan was updated in 2012 and identifies transportation gaps on Oahu for people with disabilities, seniors and people with low incomes, and provides a prioritized list of projects that meet strategic goals and objectives. The projects in this grant application were drawn from the adopted Plan and were developed through a competitive selection process that was separate from, but coordinated with, the planning process. The projects will improve transportation options for persons with low incomes through improved coordination of all publicly funded transportation on the island of Oahu.

Specifically, the funds requested in this grant application will provide for selected human service agencies to provide transportation for their own clients.

##### a. Agency Provided Trips (STIP #OC13; ALI 30.09.01) FTIP Consistency: Project ID: OC13 (see attachment in TEAM)

This project ranked as a top priority for the goal of improving TheHandi-Van timeliness and trip length. Honolulu's 2009 FTA Triennial Review includes a finding that "[TheHandi-Van trip] denials are an ongoing problem and the supply of service does not meet the demand for service." A key factor contributing to trip denials is the number of subscription trips during morning and evening peak travel hours, which exceeds the 50 percent maximum allowed by 49 CFR Part 37. This project will reduce TheHandi-Van's peak hour subscription demand by providing operating assistance to selected human service agencies as transportation providers serving clients who are presently carried by TheHandi-Van. Significant service improvements to the TheHandi-Van may eventually be realized as the program reaches sufficient size.

Federal assistance for the agency-provided trips project will be provided through a combination of grants:

\$247,682 — This application (FFY2012 Section 5317 Urban Area Funds)  
\$206,264 — Application HI-37-X009 (FFY2012 Section 5316 Urban Area funds – pending)  
\$88,827 — Application HI-90-X034 (Section 5307 - pending)  
\$542,773 Total Federal Participation

The Department of Transportation Services will be responsible for ensuring that the required local match will be made available for the project by its funding partners. Subrecipient's match will be provided from their general funds.

2. Grantee contact information:  
Strather Ing, Planner  
Department of Transportation Services  
City and County of Honolulu  
Email: [sing@honolulu.gov](mailto:sing@honolulu.gov)  
Phone: (808) 768-8348

#### Earmarks

No information found.

Security

No information found.

**Part 3: Budget**

Project Budget

	<u>Quantity</u>	<u>FTA Amount</u>	<u>Tot. Elig. Cost</u>
<b>SCOPE</b>			
300-00 OPERATING ASSISTANCE	0	\$247,682.00	\$495,364.00
<b>ACTIVITY</b>			
30.09.01 UP TO 50% FEDERAL SHARE	0	\$247,682.00	\$495,364.00
<b>Estimated Total Eligible Cost:</b>			<b>\$495,364.00</b>
<b>Federal Share:</b>			<b>\$247,682.00</b>
<b>Local Share:</b>			<b>\$247,682.00</b>

OTHER (Scopes and Activities not included in Project Budget Totals)

None

SOURCES OF FEDERAL FINANCIAL ASSISTANCE

<u>UZA ID</u>	<u>Accounting Classification</u>	<u>FPC</u>	<u>FY</u>	<u>SEC</u>	<u>Previously Approved</u>	<u>Amendment Amount</u>	<u>Total</u>
150550	2012.25.57.FL.2	04	2014	57	\$0.00	\$247,682.00	\$247,682.00
<b>Total Previously Approved:</b>							<b>\$0.00</b>
<b>Total Amendment Amount:</b>							<b>\$247,682.00</b>
<b>Total from all Funding Sources:</b>							<b>\$247,682.00</b>

Alternative Fuel Codes

Extended Budget Descriptions

300-00	OPERATING ASSISTANCE	0	\$247,682.00	\$495,364.00
<p>Details: One subrecipient will be responsible for carrying out the Agency-Provided Trips activity to operate transportation service for their clients who would otherwise use TheHandi-Van paratransit service for these trips. This will reduce TheHandi-Van's peak hour subscription demand and improve access to transportation for persons with disabilities.</p> <p>Goodwill Industries of Hawaii, Inc. (private/non-profit)</p>				
30.09.01	UP TO 50% FEDERAL SHARE	0	\$247,682.00	\$495,364.00
<p>Details: One subrecipient will be responsible for carrying out the Agency-Provided Trips activity to operate transportation service for their clients who would otherwise use TheHandi-Van paratransit service for these trips. This will reduce TheHandi-Van's peak hour subscription demand and improve access to transportation for persons with disabilities.</p> <p>Goodwill Industries of Hawaii, Inc. (private/non-profit)</p>				

## Changes since the Prior Budget

No information found.

## Part 4. Milestones

30.09.01 UP TO 50% FEDERAL SHARE 0 \$247,682 \$495,364

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	Notice to Proceed	Jun. 30, 2015
2.	Activity Complete	Jun. 30, 2018

## Part 5. Environmental Findings

300901 UP TO 50% FEDERAL SHARE 0 \$247,682 \$495,364

### Finding No. 1 - Class II(c)

#### **C04 - Planning and administrative activity**

Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

#### Finding Details:

The funds in this activity line item will pay for transit service provided by the City/County of

Honolulu and its local transportation service organizations.

## Part 6: Fleet Status

No information found.

## Part 7. FTA Comments

## Part 8: Results of Reviews

The reviewer did not find any errors

## Part 9: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-20, October 1, 2013)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official's electronic signature is entered for this Grant Agreement, FTA has Awarded Federal assistance in support of the Project described below. Upon Execution of this Grant Agreement by the Grantee named below, the Grantee affirms this FTA Award, and enters into this Grant Agreement with FTA. The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(19), October 1, 2013, <http://www.fta.dot.gov/documents/20-Master.pdf>
- (2) The Certifications and Assurances applicable to the Project that the Grantee has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE GRANTEE DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING THE DATE OF THIS FTA AWARD SET FORTH HEREIN.

### FTA AWARD

FTA hereby awards a Federal grant as follows:

Project No: HI-57-X009-00

Grantee: HONOLULU, CITY AND COUNTY OF

Citation of Statute(s) Authorizing Project: 49 USC 5317 - New Freedom

Estimated Total Eligible Cost (in U.S. Dollars): \$495,364

Maximum Total FTA Amount Awarded (in U.S. Dollars): \$247,682

Amount of This FTA Award (in U.S. Dollars): \$247,682

Maximum Percentage(s) of FTA Participation:

Percentages of Federal participation are based on amounts included in the Approved Project Budget, modified as set forth in the text following the Project Description.

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Project Certification Date:

Project Description:

New Freedom Service & Coordination

The Project Description includes information describing the Project within the Project Application submitted to FTA, and the Approved Project Budget, modified by any additional statements displayed in this Grant Agreement, and, to the extent FTA concurs, statements in other documents including Attachments entered into TEAM-Web.

Awarded By:  
Leslie Rogers  
Regional Administrator  
FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
04/10/2014

## HI-57-X009-00 Quarterly Narrative Report

Jan. 01, 2014 through Mar. 31, 2014

As Of Apr. 28, 2014

**(DRAFT)**

MS/P Report in Progress , FFR In Progress

### Part 1: Recipient Information

Project Number:	HI-57-X009-00
Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Address:	650 SOUTH KING STREET 3RD FLOOR, HONOLULU, HI 96813 3017
Telephone:	(808) 768-8348
Facsimile:	(808) 768-4730

### Part 2: Project Information

Project No:	HI-57-X009-00
Brief Desc:	New Freedom Service & Coordination
FTA Project Mgr:	Catherine Luu (Phone 415.744-2730)
Start/End Date:	Jun. 30, 2015 - Jun. 30, 2018
Gross Project Cost:	\$495,364

Adjustment Amt:	\$0
Total Eligible Cost:	\$495,364
Total FTA Amt:	\$247,682
Total State Amt:	\$0
Total Local Amt:	\$247,682
Other Federal Amt:	\$0

### Part 3: Federal Financial Report

#### Financial Status

	Previous	This Period	Cumulative
A. Federal Cash on Hand at Beginning of Period			\$0
B. Federal Cash Receipts			\$0
C. Federal Cash Disbursements			\$0
D. Federal Cash on Hand at End of Period			\$0
E. Total Federal Funds Authorized			\$0
F. Federal Share of Expenditures	\$0	\$0	\$0
G. Recipient Share of Expenditures	\$0	\$0	\$0
H. Total Expenditures( F + G )	\$0	\$0	\$0
I. Federal Share of Unliquidated Obligations			\$0
J. Recipient Share of Unliquidated Obligations			\$0
K. Total Unliquidated Obligations( I + J )			\$0
L. Total Federal Share ( F + I )			\$0
M. Unobligated Balance of Federal Funds ( E - L )			\$0
N. Total Recipient Share Required			\$0
O. Remaining Recipient Share to be provided N - ( G + J )			\$0
P. Federal Program Income on Hand at Beginning of Period			\$0
Q. Total Federal Program income earned			\$0
R. Federal Program income expended in accordance with the deduction alternative			\$0
S. Federal Program income expended in accordance with the addition alternative			\$0
T. Federal Program income expended on allowable Transit Capital and Operating expenses			\$0
U. Federal Unexpended Program income			\$0

( P + Q - R or s or T )

**Indirect Expense**

Type	N/A
Rate	0.00%
Base	\$0
Amount Charged	\$0
Federal Share	\$0

**Part 4. Milestone/Progress Report**

30.09.01 UP TO 50% FEDERAL SHARE	<u>Quantity</u> 0	<u>FTA Amount</u> - \$247,682	<u>Elig. Proj. Cost</u> \$495,364
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	<u>Milestone Description</u>	<u>Orig. Est. Comp. Date</u>	<u>Rev. Est. Comp. Date</u>	<u># Rev</u>	<u>Actual Comp. Date</u>	<u>Cont. Code</u>
1.	Notice to Proceed	6/30/2015				
2.	Activity Complete	6/30/2018				