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## RESOLUTION

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AUTHORIZING THE FILING OF AN APPLICATION AND THE EXECUTION OF A GRANT OR COOPERATIVE AGREEMENT WITH THE FEDERAL TRANSIT ADMINISTRATION FOR FEDERAL TRANSPORTATION ASSISTANCE AUTHORIZED BY 49 U.S.C. CHAPTER 53, TITLE 23, UNITED STATES CODE, AND OTHER FEDERAL STATUTES ADMINISTERED BY THE FEDERAL TRANSIT ADMINISTRATION.

WHEREAS, Chapter 1, Article 8, Revised Ordinances of Honolulu 1990, as amended, requires that any intergovernmental agreement or any amendments thereto which places an obligation upon the City or any department or agency thereof shall require prior City Council's consent and approval; and

WHEREAS, Chapter 1, Article 8, Revised Ordinances of Honolulu 1990, as amended, also requires that, when carrying out the provisions of any intergovernmental agreement, all applications and/or other official communications which support the application and which are required to be provided by the City or its component departments to any other governmental or quasi-governmental agency shall first be presented to the City Council for its review and approval prior to their transmittal; and

WHEREAS, the Federal Transit Administration (FTA) is an operating administration of the United States Department of Transportation; and

WHEREAS, the Federal Transit Administrator has been delegated authority to award Federal financial assistance for transportation projects; and

WHEREAS, Resolution No. 10-124, adopted on June 9, 2010, authorized the filing of application HI-03-0047; and

WHEREAS, FTA awarded Grant HI-03-0047-00 on September 23, 2010; and

WHEREAS, the Department of Transportation Services (DTS) desires to amend Grant HI-03-0047-00 to increase the amount of Federal funds; and

WHEREAS, the grant or cooperative agreement for Federal financial assistance will impose certain obligations upon the City, and may require the City to provide the local share of project costs; now, therefore,



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## RESOLUTION

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BE IT RESOLVED by the Council of the City and County of Honolulu that the DTS Director, or the Director's designee, is authorized to execute and file an application on behalf of the City and County of Honolulu with the FTA for Federal assistance authorized by 49 U.S.C. Chapter 53, Title 23, United States Code, or other Federal statutes administered by the FTA. The application filed with the FTA shall be consistent with the draft application attached hereto as Exhibit 1 and by reference made a part of this Resolution; and

BE IT FURTHER RESOLVED that the DTS Director may make minor or editing changes, provided that no substantive additions or deletions are made; and

BE IT FURTHER RESOLVED:

1. That the DTS Director or the Director's designee is authorized to execute other documents the FTA may require before awarding a Federal assistance grant or cooperative agreement, so long as such documents do not incur additional obligations on the part of the City;
2. That the Corporation Counsel or the Corporation Counsel's designee is authorized to execute other documents the FTA may require before awarding a Federal assistance grant or cooperative agreement, so long as such documents do not incur additional obligations on the part of the City;
3. That the DTS Director or the Director's designee is authorized to furnish such additional information as the FTA may require before awarding a Federal assistance grant or cooperative agreement; and
4. That the DTS Director or the Director's designee is authorized to execute grant or cooperative agreements with the FTA on behalf of the City and County of Honolulu; and

BE IT FURTHER RESOLVED that the DTS Director is directed to advise the City Council in writing of the approval of the applications by the FTA, and further to forward to the Council a copy of the grant awards or the FTA's letters of denial; and



RESOLUTION

BE IT FINALLY RESOLVED that copies of this Resolution be transmitted to the DTS Director.

INTRODUCED BY

*Natasha Bara*  
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(br)

85 81 19 7 1 2011

DATE OF INTRODUCTION:

**FEB 17 2011**

Honolulu, Hawaii

Councilmembers



U.S. Department of Transportation

Federal Transit Administration

## Draft Application (February 10, 2011)

Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Project ID:	HI-03-0047-01
Budget Number:	2 - Budget Pending Approval
Project Information:	Preliminary Engineering

## Part 1: Recipient Information

Project Number:	HI-03-0047-01
Recipient ID:	1703
Recipient Name:	HONOLULU, CITY AND COUNTY OF
Address:	650 SOUTH KING STREET 3RD FLOOR, HONOLULU, HI 96813 3017
Telephone:	(808) 768-8348
Facsimile:	(808) 768-4730

## Part 2: Project Information

Project Type:	Grant	Gross Project Cost:	\$80,889,204
Project Number:	HI-03-0047-01	Adjustment Amt:	\$0
Project Description:	Preliminary Engineering	Total Eligible Cost:	\$80,889,204
Recipient Type:	City	Total FTA Amt:	\$30,000,000
FTA Project Mgr:	Catherine Luu (415.744.2730)	Total State Amt:	\$0
Recipient Contact:	Phyllis Kurio (808.768.8347)	Total Local Amt:	\$50,889,204
New/Amendment:	Amendment	Other Federal Amt:	\$0
Amend Reason:	Increase Award	Special Cond Amt:	\$0
Fed Dom Asst. #:	20500	Special Condition:	None Specified
Sec. of Statute:	5309-5	S.C. Tgt. Date:	None Specified
State Appl. ID:	None Specified	S.C. Eff. Date:	None Specified
Start/End Date:	Oct. 16, 2009 - Sep. 16, 2011	Est. Oblig Date:	None Specified
Recvd. By State:		Pre-Award Authority?:	Yes
EO 12372 Rev:	Not Applicable	Fed. Debt Authority?:	No
Review Date:	None Specified	Final Budget?:	No
Planning Grant?:	NO		
Program Date (STIP/UPWP/FTA)	Dec. 20, 2010		



Prm Plan) :	
Program Page:	13
Application Type:	Electronic
Supp. Agreement?:	No
Debt. Delinq. Details:	

### Urbanized Areas

UZA ID	UZA Name
150550	HONOLULU, HI

### Congressional Districts

State ID	District Code	District Official
15	1	Colleen Hanabusa
15	2	Mazie K Hirono

### Project Details

This application requests the \$34,990,000 in New Starts funds currently obligated in HI-03-0047-00 be increased by \$30,000,000 to \$64,990,000. Funding for the additional \$30,000,000 is from the FY 2010 New Starts funds allocated to the Honolulu High-Capacity Transit Corridor Project ("the Project"). The funds will be used for Preliminary Engineering (PE) costs related to Standard Cost Category (SCC) 40.04 (Environmental Mitigation), SCC 40.08 (Temporary Facilities and Other Indirect Costs), SCC 80.01 (Professional Services – PE), and SCC 80.03 (Project Management for Design).

The Project received FTA's approval to advance to PE on October 16, 2009. FTA issued the environmental Record of Decision for the Project on January 18, 2011. PE work is a categorical exclusion under NEPA.

The Project is identified as Project #OC15 in the FYs 2011-2014 STIP. The local matching share was appropriated in the Grantee's Executive Capital Improvement Budget and Program for Fiscal Year July 1, 2009 to June 30, 2010 (Ordinance No. 09-12).

#### Satisfying Public Hearing Requirements:

Public scoping meetings on the Project were held on March 28 and 29, 2007 and on April 3, 2007.

Public hearings were held on December 6, 8, 9, 10, and 11, 2008 to provide opportunity to comment on the Project's Draft Environmental Impact Statement/Section 4(f) Evaluation, Section 106 of the National Historic Preservation Act process and potential impacts. A notice of the public hearing was published in the Honolulu Star Bulletin (a newspaper of general circulation in the Project's geographic service area) on November 21, 2008. Additionally, information on the Draft Environmental Impact Statement and public hearings were made available through the Project's website ([www.honolulutransit.org](http://www.honolulutransit.org)) and newsletter mailings.



The public comment period was extended from January 7, 2009 to February 6, 2009. The notice of the extended public comment period was published in the Honolulu Star Bulletin on December 19, 2008.

Grantee contact:  
 Phyllis Kurio, Planner  
 Rapid Transit Division  
 Department of Transportation Services  
 City & County of Honolulu  
 email: pkurio@honolulu.gov  
 phone: (808) 768-8347

### Earmarks

#### Earmark Details

Earmark ID	Earmark Name	Orig. Balance	Amount Applied
E2010-NWST-033	Honolulu High Capacity Trans	\$30,000,000	\$30,000,000

Number of Earmarks: 1

Total Amount Applied: \$30,000,000

### Part 3: Budget

#### Project Budget

	Quantity	FTA Amount	Tot. Elig. Cost
<u>SCOPE</u>			
<b>140-00</b> NEW START	0	\$64,990,000.00	\$129,619,204.00
<u>ACTIVITY</u>			
<b>14.08.80</b> PROFESSIONAL SERVICES	0	\$64,990,000.00	\$129,619,204.00
Estimated Total Eligible Cost:			\$129,619,204.00
Federal Share:			\$64,990,000.00
Local Share:			\$64,629,204.00

#### SOURCES OF FEDERAL FINANCIAL ASSISTANCE

UZA ID	Accounting Classification	FPC	FY	SEC	Previously Approved	Amendment Amount	Total
150550	2008.47.03.33.1	00	2011	03	\$15,190,000.00	\$0.00	\$15,190,000.00
150550	2009.47.03.33.1	00	2011	03	\$19,800,000.00	\$0.00	\$19,800,000.00
Total Previously Approved:							\$34,990,000.00



Extended Budget Descriptions

<b>14.08.80</b>	PROFESSIONAL SERVICES	0	\$64,990,000.00	\$129,619,204.00
PE-related costs for: (1) General Engineering Consultant incurred costs to 12/31/2010 & costs to be incurred under contract amendment #6; and (2) West Oahu/Farrington Highway Design-Build Contractor costs incurred to 12/31/2010.				

Part 4. Milestones

**14.08.80** PROFESSIONAL SERVICES 0 \$64,990,000 \$129,619,204

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	GEC1 RFQ Issued	Jun. 05, 2007
	GEC1 = General Engineering Consultant #1	
2.	GEC1 Contract Awarded	Aug. 24, 2007
3.	WOFHG DB RFP Issued	Feb. 04, 2009
	WOFHG DB = West Oahu/Farrington Highway Guideway Design-Build	
4.	WOFHG DB Contract Awarded	Nov. 11, 2009
5.	GEC1 PE to Amend #6 Complete	Apr. 30, 2011
	GEC1 non-ARRA funded PE-related costs through contract amendment #6.	
6.	WOFHG DB Cost Reimb Complete	Sep. 16, 2011
	Reimbursement for Federal share of PE-related costs incurred to 12/31/2010 and paid with local funds. Estimated drawdown completion date assumes grant award in early September 2011.	

Part 5. Environmental Findings

**140880** PROFESSIONAL SERVICES 0 \$64,990,000 \$129,619,204

Finding No. 1 - Class II(c)

**C01** - Engineering/Design/Planning/Tech.Studies

Activities which do not involve or lead directly to construction, such as planning and technical studies; projects for training and research programs; planning activities eligible for assistance listed in 23 U.S.C. 134, 135, and 307(c); planning activities related to approval of a unified work program and any findings required in the planning process pursuant to 23 C.F.R. Part 450, activities for state planning and research programs pursuant to 23 C.F.R. Part 420; engineering to define the elements of a proposed action or alternatives so that social, economic, and environmental effects can be assessed.\n\n

CITY COUNCIL  
CITY AND COUNTY OF HONOLULU  
HONOLULU, HAWAII  
C E R T I F I C A T E

**RESOLUTION 11-58**

Introduced: 02/17/11 By: NESTOR GARCIA (BR)

Committee: TRANSPORTATION  
AND TRANSIT  
PLANNING

Title: RESOLUTION AUTHORIZING THE FILING OF AN APPLICATION AND THE EXECUTION OF A GRANT OR COOPERATIVE AGREEMENT WITH THE FEDERAL TRANSIT ADMINISTRATION FOR FEDERAL TRANSPORTATION ASSISTANCE AUTHORIZED BY 49 U.S.C. CHAPTER 53, TITLE 23, UNITED STATES CODE, AND OTHER FEDERAL STATUTES ADMINISTERED BY THE FEDERAL TRANSIT ADMINISTRATION.

Links: [RES11-58](#)  
[CR-79](#)

Voting Legend: Y= Aye, Y\* = Aye w/Reservations, N = No, A = Absent, ABN = Abstain

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TRANSPORTATION AND TRANSIT PLANNING 03/02/11 CR-79 – RESOLUTION REPORTED OUT OF COMMITTEE FOR ADOPTION.

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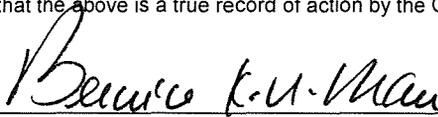
COUNCIL	03/16/11	CR-79 AND RESOLUTION 11-58 WERE POSTPONED UNTIL 04/20/11 MEETING.							
ANDERSON	A	BERG	Y	CACHOLA	Y	CHANG	Y	GABBARD TAMAYO	Y
GARCIA	Y	HARIMOTO	Y	KOBAYASHI	Y	MARTIN	Y		

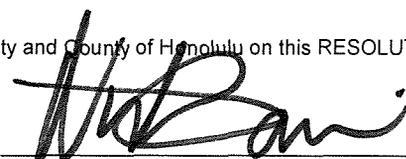
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COUNCIL	04/20/11	CR-79 AND RESOLUTION 11-58 WERE ADOPTED.							
ANDERSON	Y	BERG	Y	CACHOLA	Y	CHANG	Y	GABBARD TAMAYO	Y
GARCIA	Y	HARIMOTO	Y	KOBAYASHI	Y*	MARTIN	Y		

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I hereby certify that the above is a true record of action by the Council of the City and County of Honolulu on this RESOLUTION.

  
BERNICE K. N. MAU, CITY CLERK

  
NESTOR R. GARCIA, CHAIR AND PRESIDING OFFICER